



FY2012 Continuum of Care Program Competition Debriefing Broadcast

Office of Special Needs Assistance Programs
August 2013



Broadcast Overview

**I. FY2012 – Continuum of Care
(CoC) Program Competition**

II. FY2012 Funding Highlights

**III. Pro-Rata Need/Annual
Renewal Demand**

IV. CoC Consolidated Application



Broadcast Overview (cont.)

V. Project Applications

VI. FY2013 GIW & CoC

Registration

VII. Resources



FY2012 – CoC Program Competition



FY2012 CoC Program Competition Overview

- FY2012 CoC Program Competition
 - Opened November 9, 2012
 - Closed January 18, 2013
 - Tier 1 Renewal projects announced March 13, 2013
 - Tier 2 Renewal projects announced May 2, 2013
 - Tier 1 NEW projects announced August 1, 2013



FY2012 CoC Program Competition Overview

- Requested:
 - \$1.8 billion
 - 8,801 Projects
- Awarded:
 - \$1.6 billion
 - 8,066 Projects
- Amount Awarded Represents:
 - 489 New Projects (3%)
 - 7577 Renewal Projects (97%)



FY2012 CoC Program Competition – Applicant Profiles

- CoC Applicant Profile:
 - Collaborative Applicant Name must be consistent in all areas:
 - GIW
 - CoC Applicant Profile
 - CoC Application
 - HMIS Lead
- Project Applicant Profile



FY2012 CoC Program – Unified Funding Agency (UFA) Designations

- CoC Program interim rule - 24 CFR 578.11
 - 32 Collaborative Applicants requested UFA designation
 - 6 Collaborative Applicants passed the initial threshold requirements
- No Collaborative Applicants were designated as UFA in FY2012

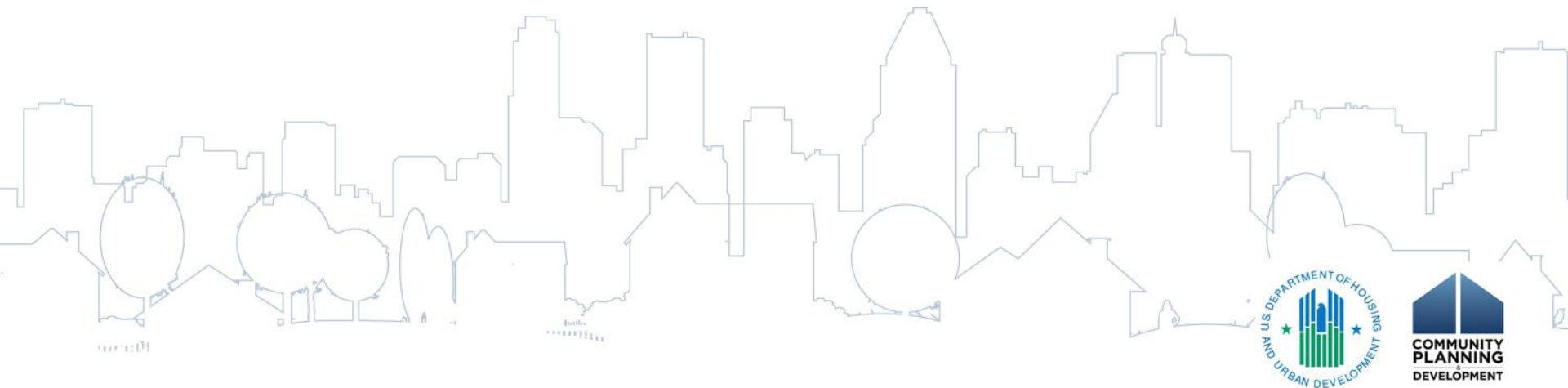


FY2012 Funding Highlights

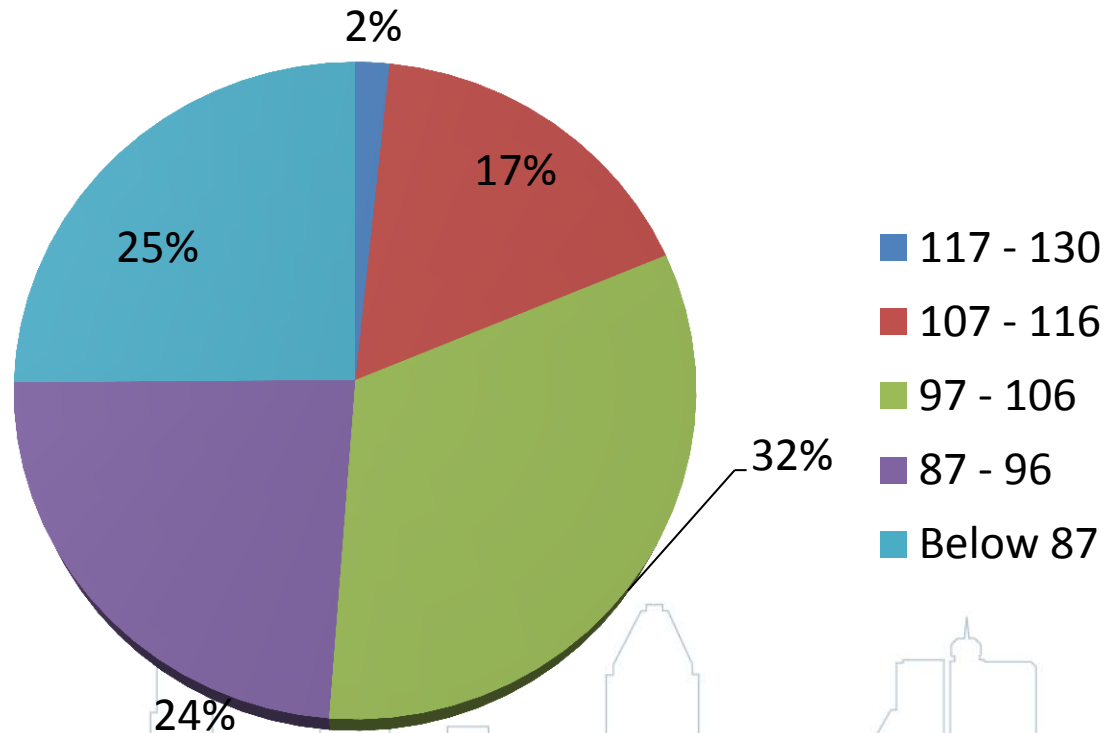


FY2012 Scores

- High Score: 127.35
- Funding Line: 97
- Average Score: 94.81
- Low Score: 48



FY2012 Distribution of CoC Scores



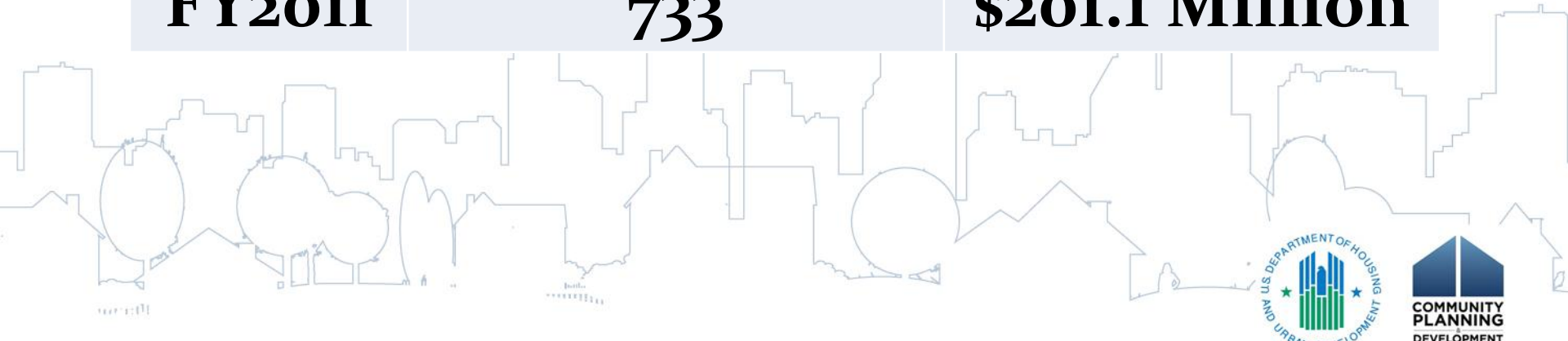
Renewal Project Funding

Year	Renewal Projects	Amount
FY2012	7,577	\$1.61 Billion
FY2011	7,153	\$1.47 Billion

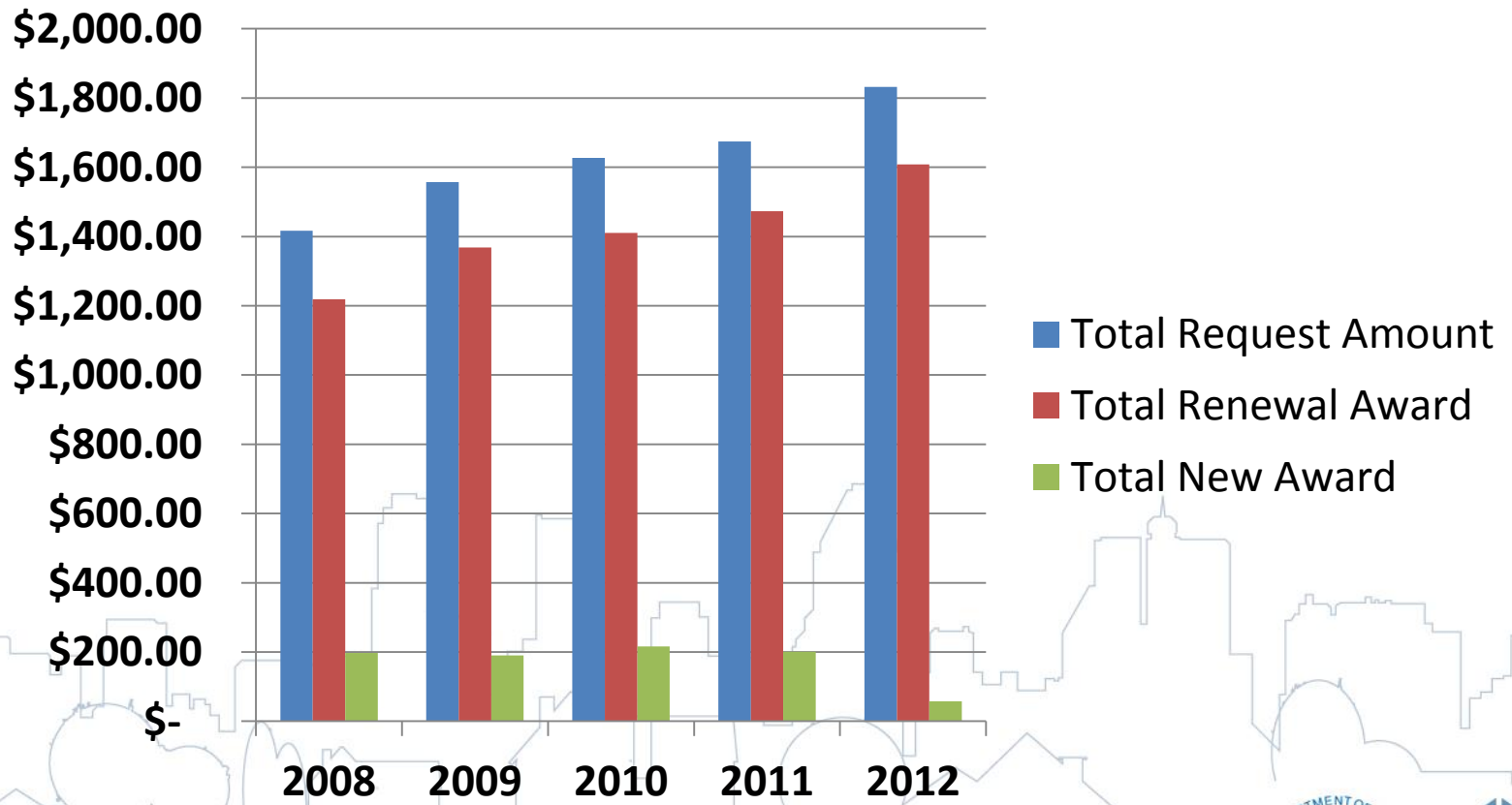


New Project Funding

Year	New Projects	Amount
FY2012	489	\$57.8 Million
FY2011	733	\$201.1 Million



Total Dollars Awarded vs. Renewal Funds vs. New Funds Awarded

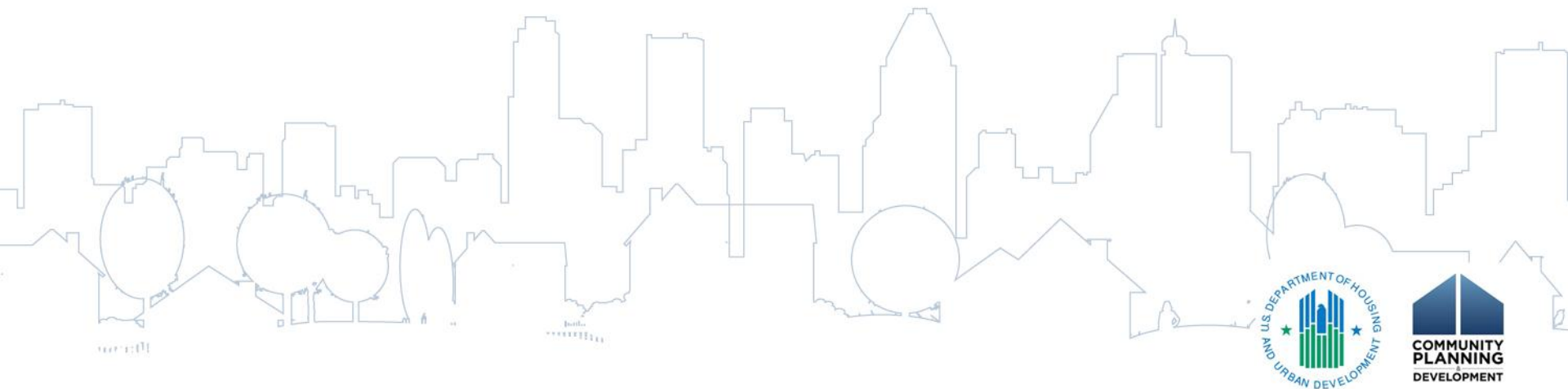


Pro –Rata Need and Annual Renewal Demand



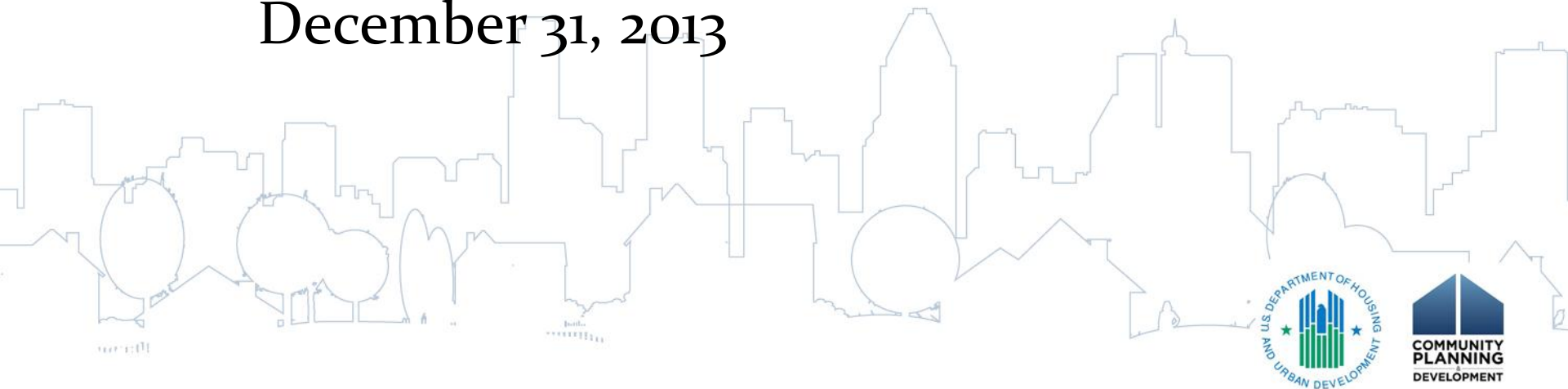
Preliminary Pro Rata Need (PPRN)

- Need is based on the formula set forth in 24 CFR 578.17(a) of the CoC Program interim rule



Annual Renewal Demand (ARD)

- Based on HUD commitment to provide each CoC with enough funding to meet ALL renewals
- FO and CoCs identify **ALL eligible renewing** grants expiring January 1 – December 31, 2013



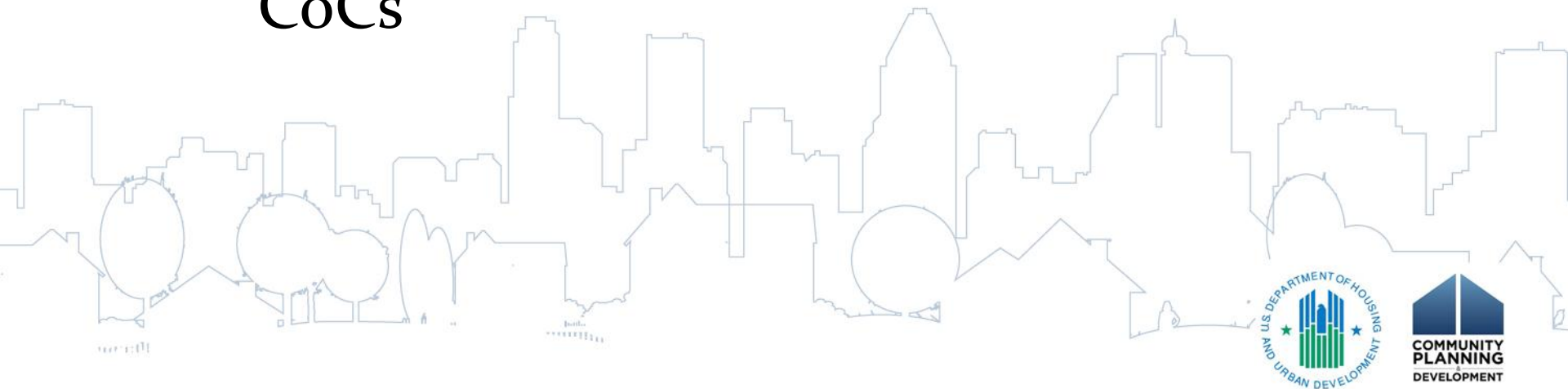
PPRN/FPRN vs. ARD

- Final Pro Rata Need (FPRN) = the higher of PPRN, ARD, or the amount from the Merger Process
 - Used to make project selection decisions
- HUD verifies determination of FPRN for CoCs:
 - Grant Inventory Worksheets
 - Field Office verification



Grant Inventory Worksheets – Important Role

- Determined a CoC's ARD
- Affected the Tier 1 ranking
- Difficult Decisions
- Moving Target for some of the nation's CoCs



Tier 1 and Tier 2

- Tier 1
 - Determined by the CoC's ARD less 3.5 percent
 - Priority funding
 - High performing recommended
- Tier 2
 - Remainder of CoC's ARD
- Requirement:
 - **ALL** projects had to be ranked
 - Renewal
 - New



FY2012 CoC Consolidated Application



CoC Consolidated Application Overview

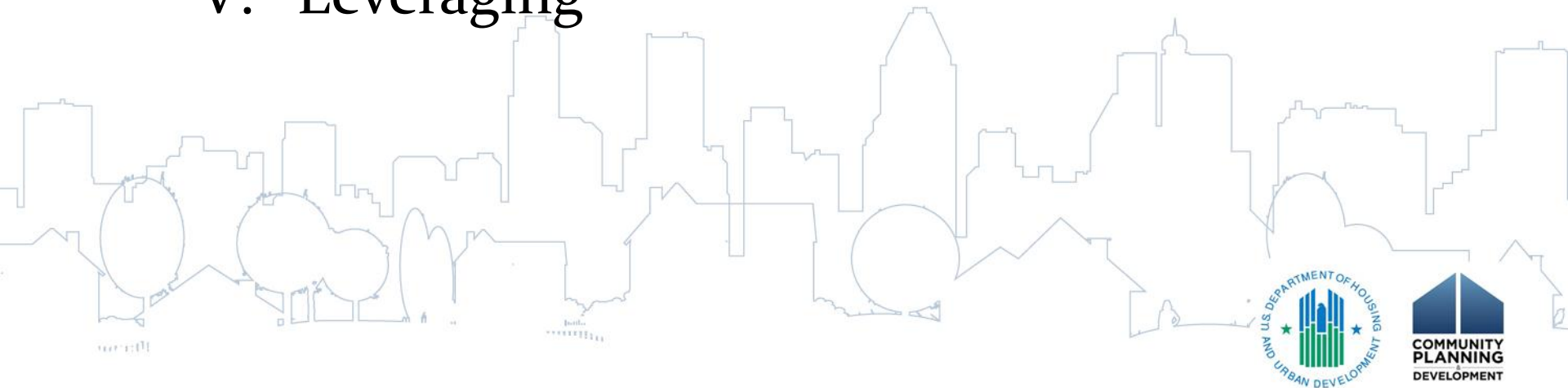
Points to Consider

- Annual Changes to the NOFA
 - CoCs are expected to read the CoC Program Interim Rule as well as all sections of the General NOFA and CoC NOFA thoroughly
 - Detailed instructions and training materials should be read carefully



CoC Application– Sections and Scoring Categories

- I. CoC Housing, Services, and Structure
- II. Homeless Needs and Data Collection
- III. CoC Strategic Planning
- IV. CoC Performance
- V. Leveraging



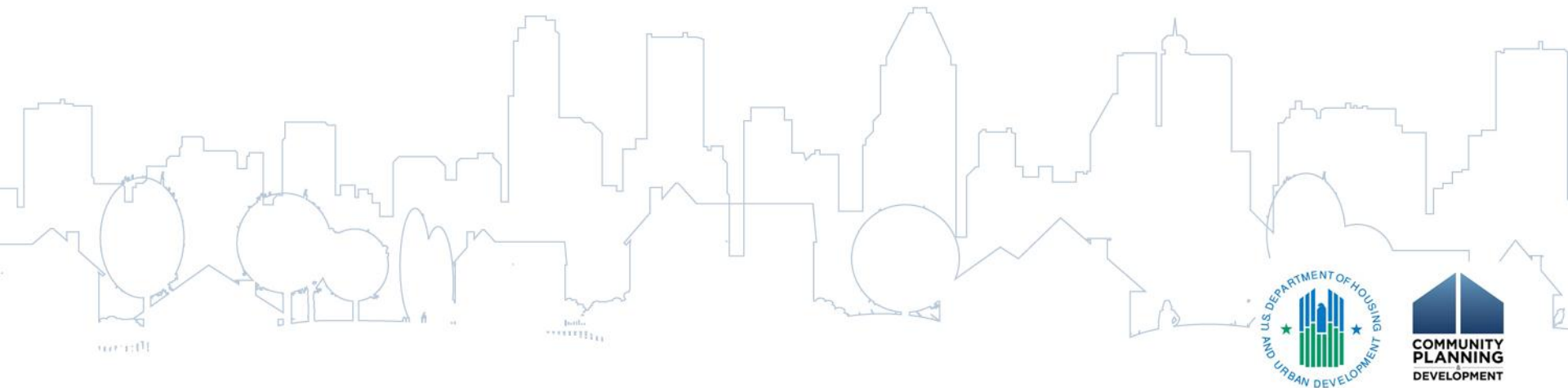
CoC Application– Scoring

- CoC Consolidated Application assessed on an 130 point scale
 - Section I – 14 points
 - Section II – 21 points
 - Section III – 55 points
 - Section IV – 34 points
 - Leveraging – 6 points
- Minimum score of 97 for new projects
- Bonus Points
- Scoring criteria outlined in FY2012 NOFA



CoC Application– Section I: CoC Housing, Services, and Structures (14 Points)

Average Score: 10.33 out of 14



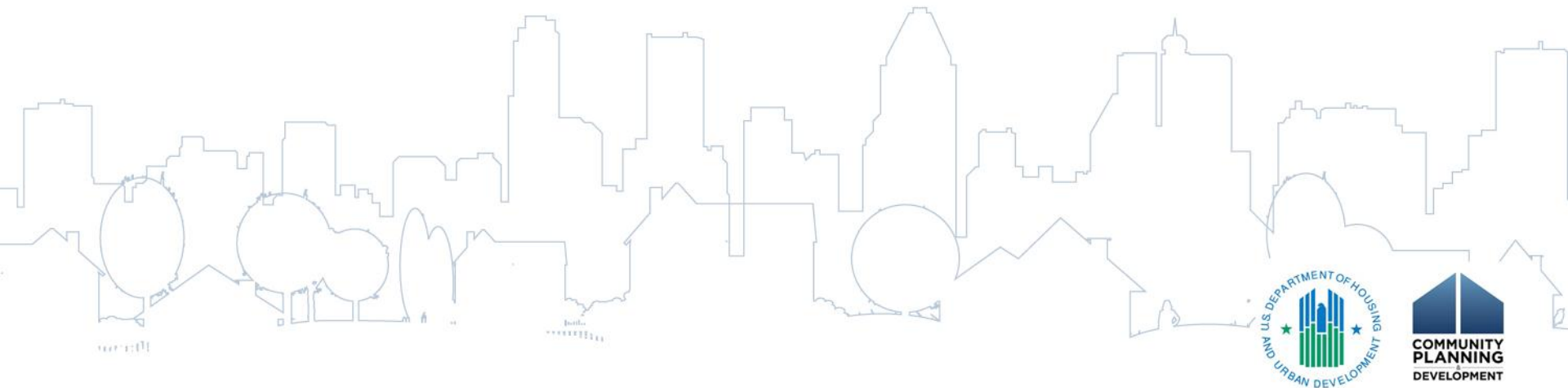
CoC Application– Section I: CoC Housing, Services, and Structures (14 Points)

- Review and Ranking Process
 - Use of Data in analysis
 - Considered full range of opinions
 - Objective Criteria that was Publicly Announced
- Housing Inventory Count – HDX
 - Reporting was due by April 30, 2012 for HDX



CoC Application– Section II: Homeless Needs & Data Collection(21 Points)

Average Score: 19.93 out of 21



CoC Application– Section II: Homeless Needs & Data Collection(21 Points)

- Homeless Management Information Systems (HMIS)
- All CoCs are expected to have a functioning HMIS
 - Governance Charter
 - Bed Coverage Rate
 - Unduplicated Client Records w/null or missing values
 - Valid program entry and exit dates
 - APR data
 - DV projects prohibited from entering into HMIS – no impact to CoC’s score



CoC Application– Section II: Homeless Needs & Data Collection(21 Points)

- Point-in-Time Counts
 - Sheltered Count Every Year
 - Unsheltered Required Every Two Years
 - 2012 was not a required count year
 - Annual Counts Encouraged
 - CoCs that indicated a count conducted outside of the last 10 days of January must have received a waiver from HUD.



CoC Application– Section II: Homeless Needs & Data Collection(21 Points)

- Collection of Sheltered and Unsheltered Data
 - CoCs were to describe methods following HUD’s point-in-time guidelines
 - “A Guide for Counting Unsheltered People”
 - A Guide for Counting Sheltered People”
 - Both guides available at www.hudhre.info



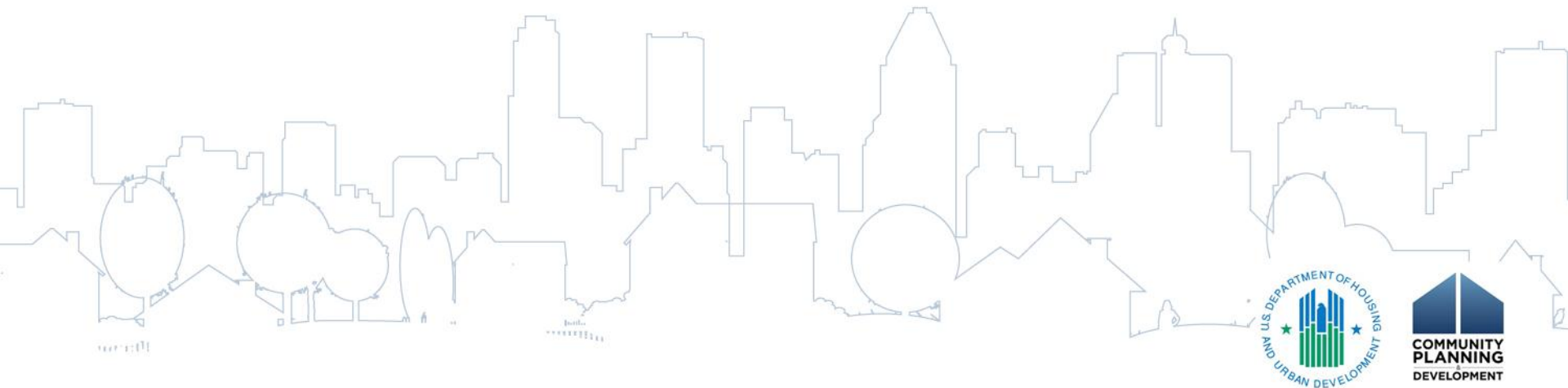
CoC Application– Section II: Homeless Needs & Data Collection(21 Points)

- CoCs were required to:
 - Compare the most recent point-in-time count to the previous one;
 - Indicate an increase, decrease, or no change; and,
 - Describe the factors that may have resulted in the increase, decrease, or no change.



CoC Application– Section III: CoC Strategic Planning Objectives (55 Points)

Average score: 43.83 out of 55 Points



CoC Application– Section III: CoC Strategic Planning Objectives (55 Points)

- 7 Objectives
 - Create new PH beds for CH persons
 - Increase percentage of participants remaining in CoC funded PH projects for at least 6 months to 80% or more
 - Increase the percentage of participants in CoC funded TH projects that move into PH to 65% or more
 - Increase percentage of participants in all CoC funded projects that are employed at program exit to 20% or more



CoC Application– Section III: CoC Strategic Planning Objectives (55 Points)

- 7 Objectives (continued)
 - Increase the percentage of participants in all CoC funded projects that obtained mainstream benefits at program exit to 20% or more
 - Decrease the number of homeless individuals and families
 - Intent of the CoC to reallocate SSO and TH projects to create new PH projects



CoC Application– Section III: CoC Strategic Planning Objectives (55 Points)

- Discharge Planning
 - Preventing the routine discharge of persons into homelessness from publicly-funded systems of care:
 - Foster Care
 - Health Care
 - Mental Health
 - Corrections



CoC Application– Section III: CoC Strategic Planning Objectives (55 Points)

Discharge Planning

- Identify the following:
 - Stakeholders
 - Who is responsible?
 - The “Where” homeless persons are routinely discharged.
 - Mainstream housing, Section 8 housing, etc.
 - Indicate that homeless persons were not being discharged to the streets, shelters, and/or McKinney-Vento Housing



CoC Application– Section III: CoC Strategic Planning Objectives (55 Points)

- **Coordination**
 - HPRP
 - ESG
 - CDBG
 - HUD-VASH
 - HOPWA
 - NSP
- **Veteran Homelessness**
- **Youth Homelessness**



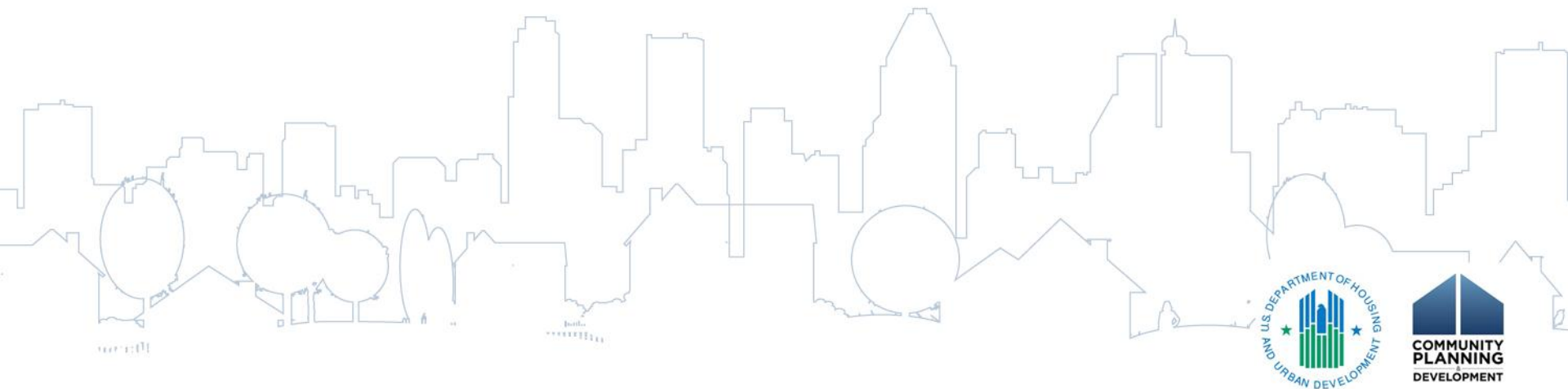
CoC Application– Section III: CoC Strategic Planning Objectives (55 Points)

- Expanded Educational Questions
 - Steps to collaborate with LEA to inform individuals and families of their eligibility for McKinney Vento educational services
 - Describe the established policies to ensure homeless children are enrolled in school and connected to services
- Coordination with ESG jurisdictions
- Describe affirmative marketing procedures



CoC Application– Section IV: CoC Performance (34 Points)

Average score: 19.05 out of 34 Points



CoC Application– Section IV: CoC Performance (34 Points)

- CoC Achievements
 - Increase Chronic Homeless Beds
 - Retain Permanent Housing
 - Obtain Permanent Housing
 - Increase Employment and Income
 - Decrease Family Homelessness



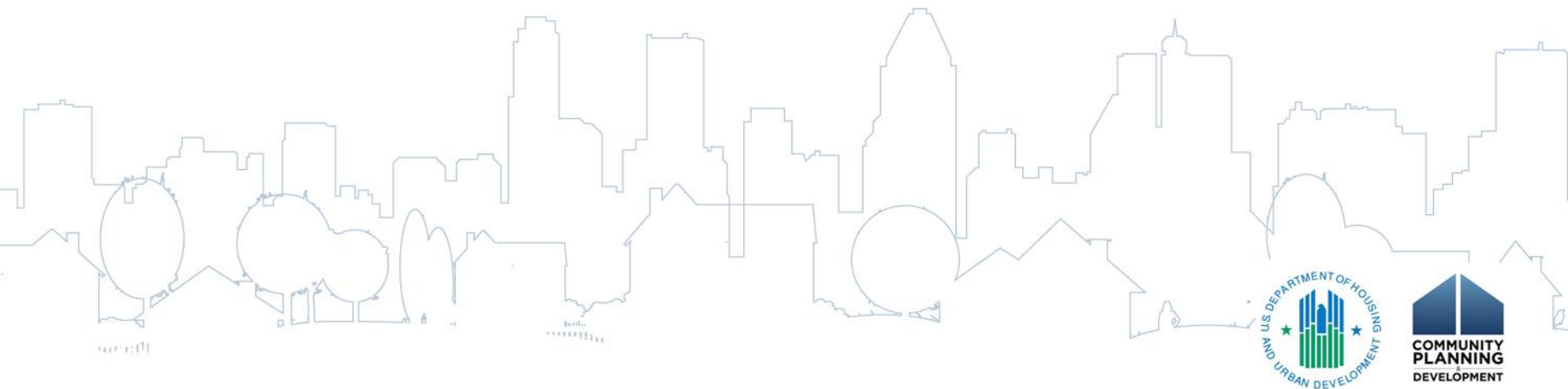
CoC Application– Section IV: CoC Performance (34 Points)

- CoC Chronic Homeless Progress
 - Number of persons – decrease
 - Number of beds - increase
- CoC Housing Performance
 - Permanent Housing = 77% (National Average)
 - Transitional Housing = 65% (National Average)
- CoC Enrollment in Mainstream Programs and Employment Information
 - Employment Income = 20% (National Average)



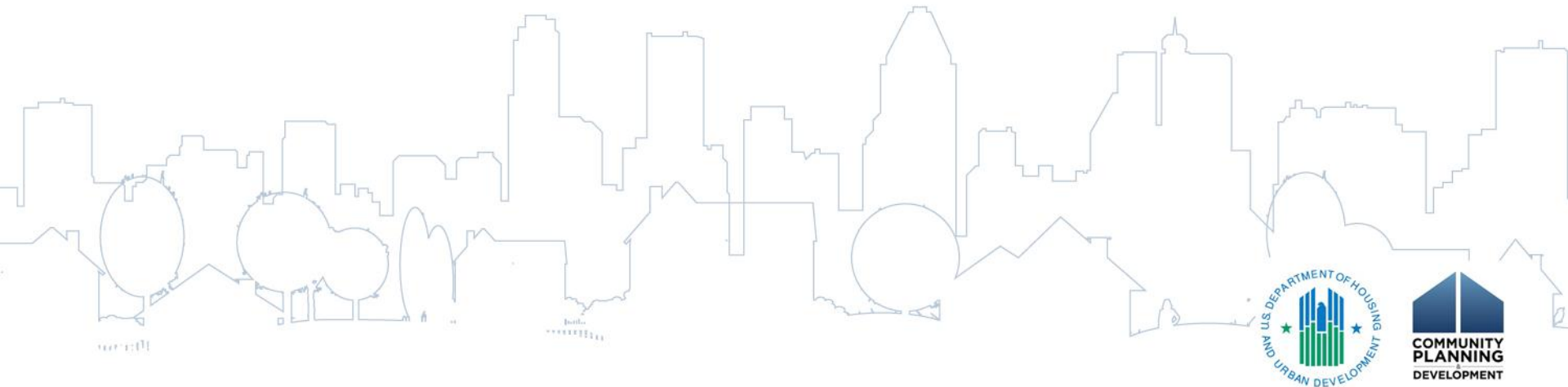
CoC Application– Section IV: CoC Performance (34 Points)

- Monitor Recipients Performance
- Assist Project Applicants to reach HUD established performance goals
- Assist poor performers to increase capacity



CoC Application– Section V: Project Leveraging (6 Points)

Average score: 2.06 out of 6 Points



Project Applications



Project Application Overview

- FY 2012 was the first competition under the *new* CoC Program interim rule
- Changes are made to the NOFA on an annual basis
- Project applicants are expected to thoroughly read:
 - The CoC Program interim rule
 - The General Section NOFA
 - CoC Program NOFA
 - Project Application Detailed Instructions
 - Project Application Training Modules



Eligibility and Threshold

- SF-424 and AAA
- Threshold Review
- Educational Assurances
- Reallocation
- Budget Information
- Category 2 “at imminent risk of homelessness”
- Category 3 “homeless under other Federal statutes”
- Make sure the numbers match!
- PH and Samaritan Bonus



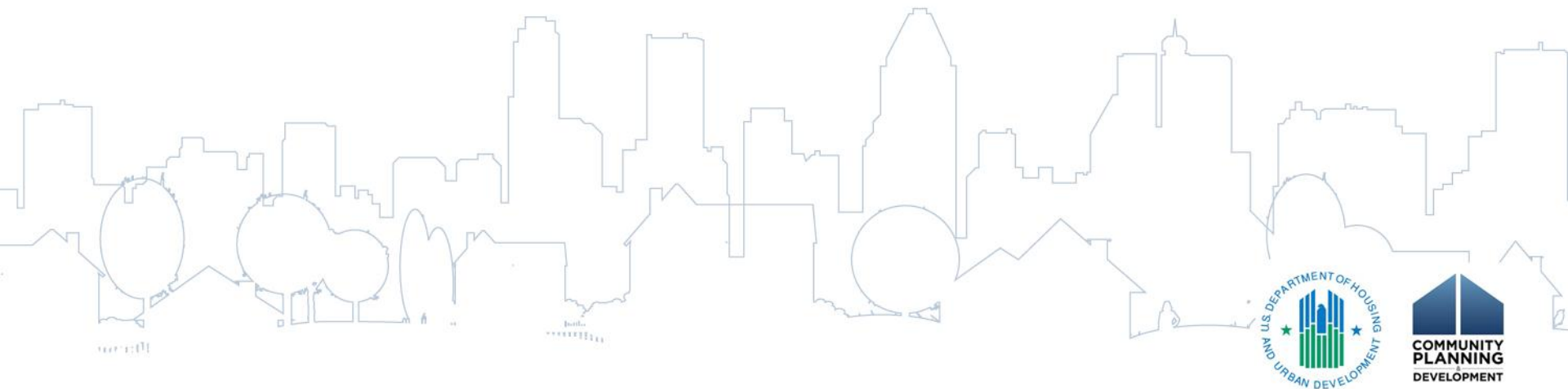
SF-424 and Attachments

- SF-424 must be completed by the current recipient/applicant, not the subrecipient
- **AAA: ALL Project Applicants must attach ALL required documents Accurately**
 - HUD-2880
 - Code of Conduct
 - Drug-Free Workplace
 - If applicable, documentation of nonprofit status, the Survey for Equal Opportunity, and Disclosure of Lobbying



New Projects

- Applicants were expected to meet eligibility requirements of the specific program as described in the CoC Program NOFA, and provide evidence of eligibility and capacity.



Renewal Projects

- Applicants for renewal projects were expected to meet project eligibility, capacity, timeliness of the expenditure of funds, and performance standards identified in the CoC Program NOFA, or were otherwise not considered for renewal funding.



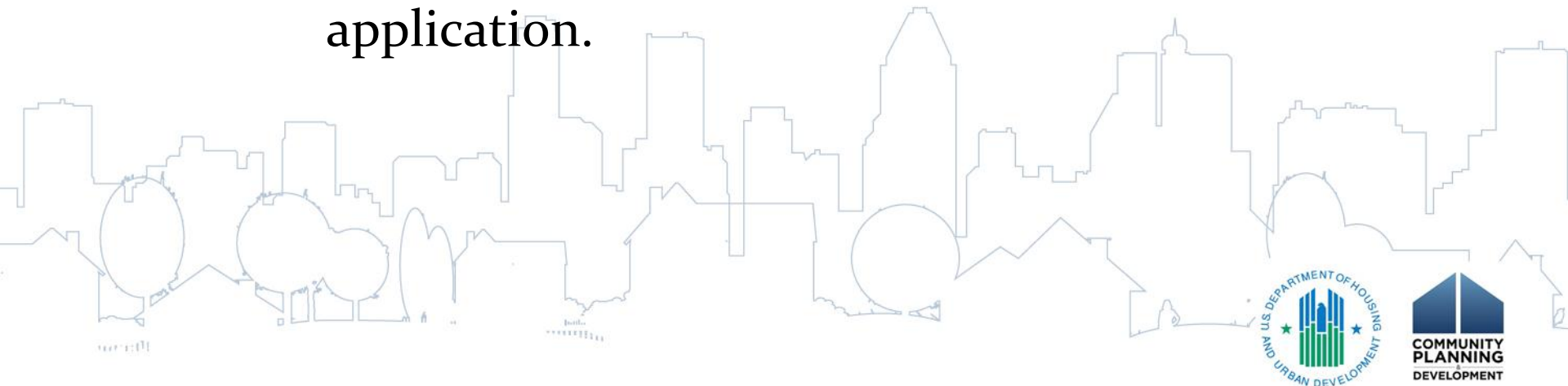
Educational Assurances

- Projects serving families and youth must demonstrate consistency with education subtitle of McKinney-Vento and other laws related to the provision of services for homeless families and youth
- Projects providing housing or services to families and youth must designate a staff person to ensure that children and youth are enrolled in school and connected to appropriate community services



Reallocation

- Projects included in the CoC's reallocation process must reflect the appropriate budget amounts in the application.
 - Budget amounts were often inconsistent with the amounts identified in the CoC's application.



Budget Information

- Renewal project budgets must match the HUD approved FY2012 GIWs.
 - Former SHP projects that requested more than the approved Annual Renewal Amount(s), were reduced accordingly.
 - Former S+C projects that requested more than the approved number of units were also reduced accordingly.



Budget Information

- Shifts in funds between BLIs greater than 10% were returned to match the HUD approved FY 2012 GIW
- Only shifts in funds less than 10% or that were made to accommodate the new categorization of costs (e.g. Food) were allowed



Category 2

- PH projects are not permitted to serve persons “at imminent risk of homelessness,” BUT many projects included this population on their applications
- SSO and TH projects may serve persons “at imminent risk of homelessness,” BUT an explanation should have been provided to verify the 14 day eviction requirement



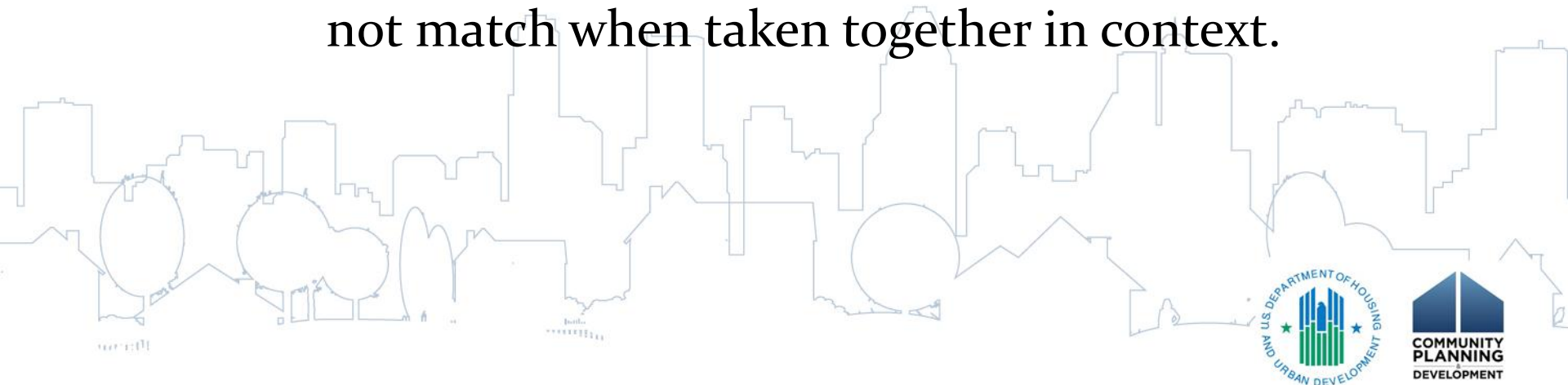
Category 3

- To serve persons defined as “homeless under other federal statutes,” the CoC must request and receive permission from HUD.
 - No CoC was granted permission to serve this population in the FY2012.
 - No projects should have indicated an intent to serve Category 3 persons in FY2012.
 - No projects are permitted to serve this population in FY2012



Numbers Do Not Match

- Numbers entered must be consistent throughout the application (e.g. units, persons served, performance measures universe, HMIS and budgets)
 - Many grant applicants listed numbers that did not match when taken together in context.



PH and Samaritan Bonus Projects

- These projects were awarded with special requirements and restrictions
- Projects must continue to adhere to these requirements and restrictions with each renewal
- Projects that indicated that they were awarded as “special projects” were evaluated according to these requirements
- Projects that selected inaccurately, may have been reviewed as Bonus projects and will require an amendment.



FY2013 GIW and CoC Registration



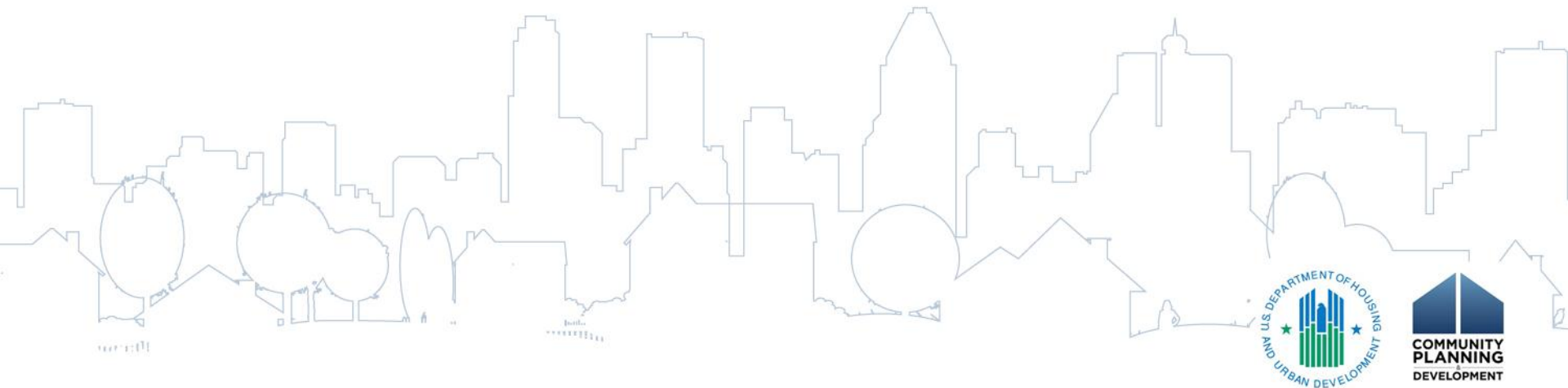
FY2013 GIW and CoC Registration: Process

- SNAPS sends GIW to CoCs & Field offices
- CoC & Field office Reconciliation
- CoC Registration Notice Published
- e-snaps opens for CoC Registration
- SNAPS reviews GIW



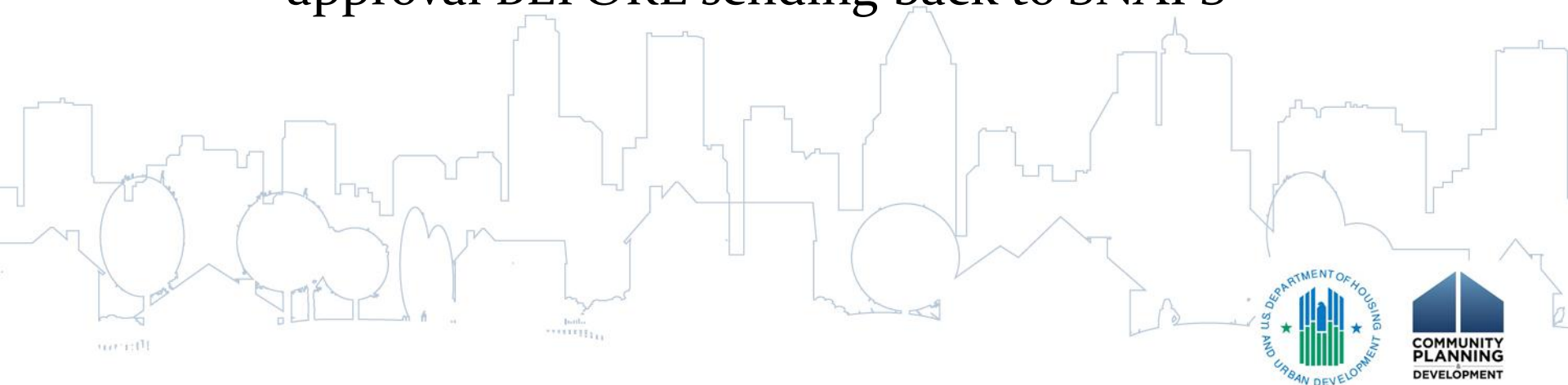
FY2013 GIW and CoC Registration: Changes from FY2012

1. New columns/functionalities in the GIW
2. GIW not required to be upload at time of CoC Registration
3. UFA questions have been revised



FY2013 GIW and CoC Registration: Reconciliation Responsibilities for CoCs

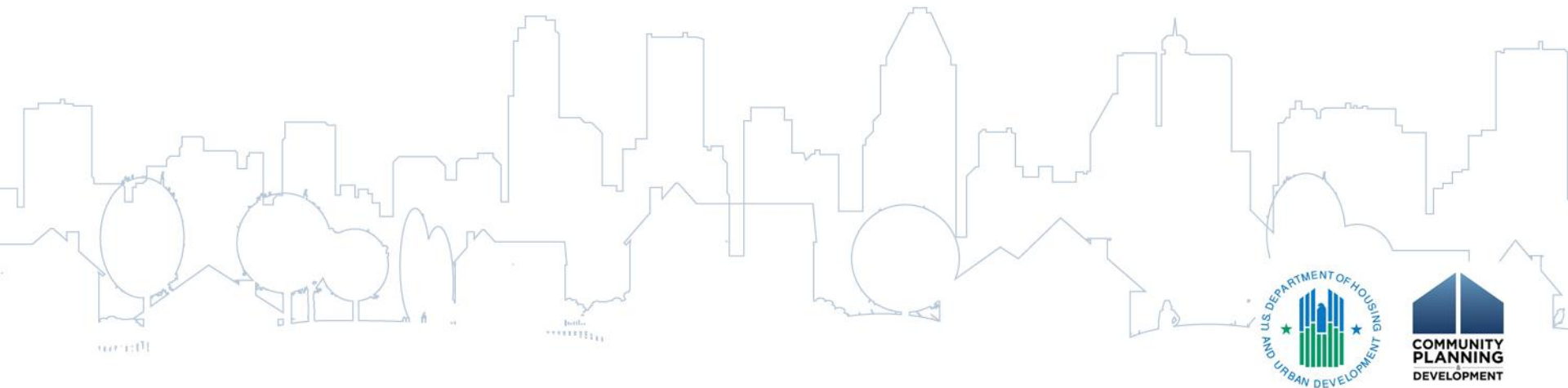
1. Know Your Inventory
2. Consult with Project Applicants and Field Office
3. Ensure ALL Projects are Listed
4. **MUST** be final and Local CPD Field Office approval **BEFORE** sending back to SNAPS



FY2013 GIW and CoC Registration: Resources

Visit OneCPD to access the following resources:

1. FY2013 GIW FAQs
2. CoC Merger Document
3. CoC Merger Worksheet
4. CoC Registration Training Modules



Resources



Resources for CoCs

- HUD's website:
 - www.hud.gov
- HUD's OneCPD website
 - <http://www.onecpd.info>
- Join a listserv
- Ready, Set, GO webinars

