Notice of Funding Availability (NOFA) for the Fiscal Years 2013 and 2014 Continuum of Care Program Broadcast

Office of Special Needs Assistance Programs
December 3, 2013

Broadcast Overview

A. Policy Priorities

B. Budget Limitations and Implications

C. Selection Criteria

D. What's New

E. Scoring and the CoC Application

F. Project Application

G. Priority Listing

H. UFA

2013-2014 CoC Program NOFA

Item	2013	2014
CoC Registration and Application to be UFA	✔	Modified (no geography changes)
CoC Application	✔	Score applies to 2013 AND 2014. <u>No new CoC Application for 2014 funds.</u>
Project Applications	✔	✔
Priority Listings	✔	✔
Consolidated Plan Certification	✔	✔

Policy Priorities

Policy Priorities

- NOFA includes 7 overarching policy priorities

- In line with HUD Strategic Plan goals and *Opening Doors*

- Previously communicated through SNAPS Weekly Focus series
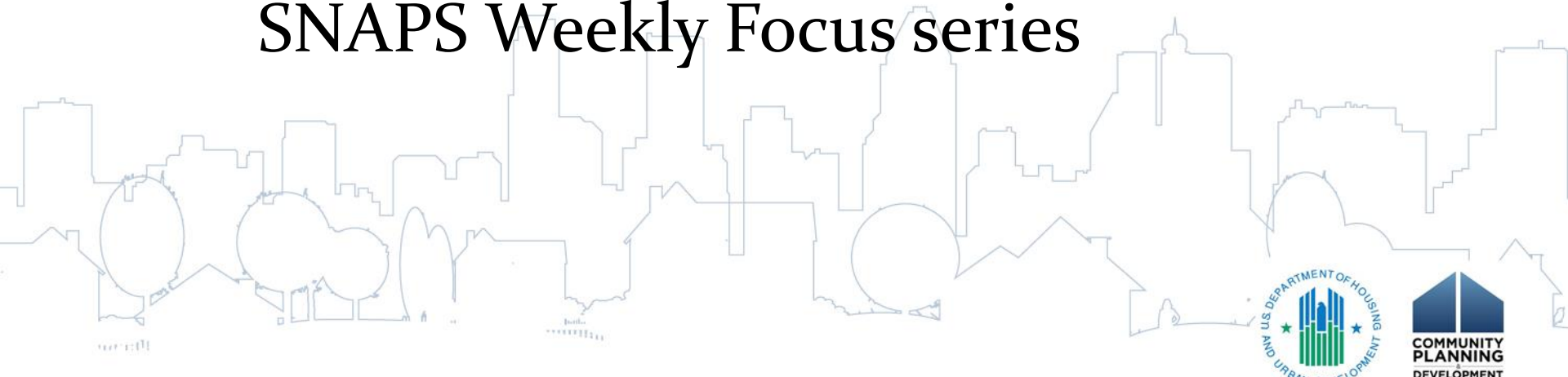
Policy Priorities

1. Strategic Resource Allocation
2. Ending Chronic Homelessness
3. Ending Family Homelessness
4. Removing Barriers to CoC Resources
5. Maximizing the Use of Mainstream Resources
6. Building Partnerships
7. Other Priority Populations

Budget Limitation and Implications

Amount Available vs. ARD

- $1.7 Billion available in FY 2013 funding
- National Annual Renewal Demand (ARD) exceeds this amount
- Only new projects funded are:
  - Projects Created through Reallocation
  - Planning and UFA
- **Total Amount a CoC May Apply for = ARD + Planning + UFA**
- Two tiered ranking approach
- Tier 1 = CoC's ARD less 5 %

Establishing CoC's ARD

- ARD is established through the Grant Inventory Worksheet (GIW)

- 7-day grace period

- Final HUD-approved GIW

- No additions to ARD after grace period

  - An eligible renewal may apply but ARD will not be increased

  - <u>HUD</u> may delete projects from ARD if they are not eligible to renew (CoC is not penalized)

FY 2012 vs. FY 2013

FY 2012	FY 2013

- Tier 1 = ARD less **3.5%**
- CoCs could request within 2 Tiers:
    - Renewals
    - New PPRN projects
    - New reallocated
    - CoC Planning
    - PH Bonus
	- Tier 1 = ARD less **5%**
	- CoCs can request within 2 Tiers:
	    - Renewals
	    - New reallocated
	    - CoC Planning
	    - UFA Costs (if applicable)

Calculation of Tier 1

- ARD and Tiers are set <u>BEFORE</u> statutory updates
- Need to ensure enough funds to cover Tier 1
- The "competition" is for Tier 2 projects – any funds not spent on Tier 1 are awarded to highest scoring CoCs.

EXAMPLE CALCULATION FOR TIER 1/CUTS (Not actual numbers)	
Funds Available: National	$ 1,700,000,000
National ARD from Registration	$ 1,760,000,000
Projected ARD After Statutory Adjustments	$ 1,780,000,000
Projected National Shortfall	$ 80,000,000
Cut Amount to Establish Tier 1	5%

Options for Approaching Project Prioritization

- Example: ABC CoC has 4 projects and an ARD of $450,000. Here is how Tier **1** would be calculated:

	ARA Amount
Project 1	$ 100,000
Project 2	$ 200,000
Project 3	$ 100,000
Project 4	$ 50,000
CoC's ARD	**$ 450,000**
5 Percent Cut	$ 22,500
Tier 1 Amount	**$ 427,500**

Option 1: Request Full ARA for Each Project

- Pros: All projects submitted at full ARA
- Cons: The 4th ranked project will likely not be funded unless the CoC scores very high and there is funding for Tier 2

Option 1: Submit Full ARA Amounts			
	Request Amount	Cumulative Request	
Project 1	$ 100,000	$100,000	
Project 2	$200,000	$300,000	
Project 3	$100,000	**$400,000**	**Tier 1= $427,500**
Project 4	$50,000	$450,000	Project 4 is in Tier 2

Option 2: Across the Board Cuts

- Pros: All renewal projects fit into Tier **1**
- Cons: High-performing projects take the same cut as low performers.

Option 2: Across the Board Cuts at 5%			
	Request Amount	Cumulative Request	
Project 1	$95,000	$95,000	
Project 2	$190,000	$285,000	
Project 3	$95,000	$380,000	
Project 4	$47,500	**$427,500**	**Tier 1 = $427,500**

Option 3: Hybrid Approach

- Pros: Decisions based on performance and impact
- Cons: Needs community buy-in

Option 3:Hybrid Approach			
	Request Amount	Cumulative Request	
Project 1	$100,000	$100,000	Highest Priority - No cut
Project 2	$194,000	$294,000	3% cut
Project 3	$98,000	$392,000	2% cut
Project 4	$35,500	**$427,500**	Lowest Priority 29% cut

Total That a CoC Can Apply For

	Amount
CoC's ARD	450,000
5 Percent Cut	22,500
Tier 1 Amount	427,500
Planning Funds (from CoC Registration)	10,000
Total the CoC Can Apply for in FY2013	460,000

Hybrid Approach with Tier 2

Option 3:Hybrid Approach			
	Request Amount	Cumulative Request	
Project 1 Renewal	$100,000	$100,000	Highest Priority - No cut
Project 2 Renewal	$194,000	$294,000	3% cut
Project 3 Renewal	$98,000	$392,000	2% cut
Project 4 Renewal	$35,500	**$427,500**	Lowest Priority 29% cut **END of Tier 1**
Project 5 New	$22,500	**$450,000**	New Reallocated PSH project for CH
Project 6 Planning	$10,000	**$460,000**	**END of Tier 2**

Selection Criteria

Selection Criteria and Prioritizing Projects

- Order of selection outlined in the NOFA
- Selection priorities are the same for Tier 1 and Tier 2
- Selection order in each Tier is specific to project type (PSH, RRH, TH, SSO, planning)
- Selection order allows HUD to preserve more permanent housing
- Knowing selection priorities is especially important for strategic project placement

Overview of Selection

Within the rank order established by the CoC on the Priority Listing, HUD will select projects from Tier 1/Tier 2 in the following order by CoC score:

1. Renewal PH: PSH and RRH
2. New Reallocated PSH (CH only)
3. New Reallocated RRH (Families only)
4. Renewal Transitional Housing
5. CoC Planning Costs
6. UFA Costs
7. SSO Projects for Coordinated Assessment
8. Renewal HMIS
9. All other Renewal SSO Projects
10. Any other project application submitted by the CoC that was not on the HUD-approved GIW

Overview of Selection

- Selection priorities set up "rounds" of funding by project type.
- Projects from all CoCs are sorted by score and rank order into each round of selection.
- If a CoC has more than one project in a round, they are selected by rank.
- This process is completed for Tier 1 until funds are exhausted.
- If funds remain after Tier 1 is complete, the same process is carried out for Tier 2 until funds are exhausted.

How Selection Works

Funding Line (where we run out of Available Funds) is 149

CoC A Project Listing (Score of 150)

Project 1:PSH Renewal

Project 2:TH Renewal

Project 3: RRH Reallocated Families

Project 4:SSO (Coordinated Intake)

Project 5: Planning

Project 6: PSH Renewal (End of Tier 1)

Project 7: RRH Renewal

Project 8: Renewal PSH

Project 9: TH Renewal

CoC B Project Listing (Score of 120)

Project 1:PSH Renewal

Project 2:PSH Renewal

Project 3: PSH Reallocated for CH

Project 4:SSO NOT Coordinated Intake

Project 5: TH Renewal

Project 6: HMIS Renewal (End of Tier 1)

Project 7: RRH Renewal

CoC C Project Listing (Score of 100)

Project 1:PSH Renewal

Project 2:Planning

Project 3: RRH Reallocated (End of Tier 1)

Project 4:TH Renewal

T1: First Selection is red

T1: Second Selection is blue

T1: Third Selection is green

T1: Fourth Selection is orange

T1: Fifth Selection is purple

None in this example

T1: Seventh Selection is burgundy

T1: Eighth Selection is aqua

T1: Ninth Selection is pink

None in this example

T2: First Selection is brown

Selection Criteria

Renewal PSH/RRH

New PSH for Chronics Created through Reallocation

New RRH for families created through Reallocation

Renewal TH

Planning

UFA

SSO for Coordinated Intake

HMIS

Other SSO

Projects not on GIW

END of Tier 1

Renewal PSH/RRH

END of Funding

How a Missing Project on the GIW Impacts Tier 1

- Final HUD-approved GIW = Final ARD
- Eligible renewal projects not included on GIW may apply but ARD will not be increased
- Eligible renewal projects not on final HUD-approved GIW are selected last in each tier
- If ranked in Tier 1, will require bigger across the board cuts or will push one or more renewal projects into Tier 2, putting them at risk

COMMUNITY
PLANNING
&
DEVELOPMENT

What's New for FY 2013

What's New for FY 2013

- Highlights:
- Only new projects funded are:
  - Projects Created through Reallocation
  - Planning and UFA
- CoCs will be evaluated on the extent to which they are prioritizing the chronically homeless in **all** CoC Program-funded PSH

What's New: Limited Reallocation

- Reallocation is limited to—
  - PSH projects for the chronically homeless
  - Rapid Re-Housing for households with children living on the streets or in emergency shelter
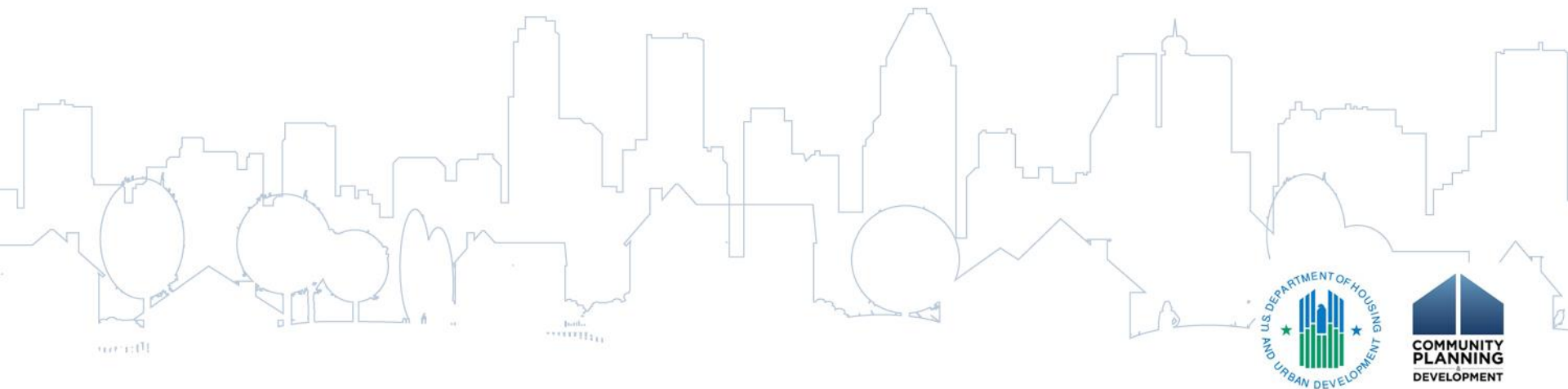
- Renewal projects requesting rental assistance permitted to request a per-unit amount less than FMR

  - Intended to help reduce the number of rental assistance projects that have large balances of unspent funds at the end of the operating year

  - Amount requested must be sufficient to cover all eligible costs as HUD cannot provide funds beyond what is awarded through the competition

  - Can not request more than 100% of published FMR

Scoring and the CoC Application

Scoring of the CoC Application

- Make sure the approved Collaborative Applicant submits the Consolidated Application on behalf of the CoC

- 150 point scale – plus 6 possible bonus points

- CoCs with higher score more likely to be awarded some projects in Tier 2

- Emphasis on policy priorities

- Score will apply to FY 2013 and FY 2014

Scoring Criteria Overview

1. CoC Strategic Planning and Performance – 69 points
2. CoC Coordination of Housing and Services – 28 points
3. Recipient Performance – 15 points
4. CoC Housing, Services and Structure – 13 points
5. Leveraging – 5 points
6. HMIS – 11 points
7. Point-in-Time Count – 9 points
8. Bonus Points – 6 points
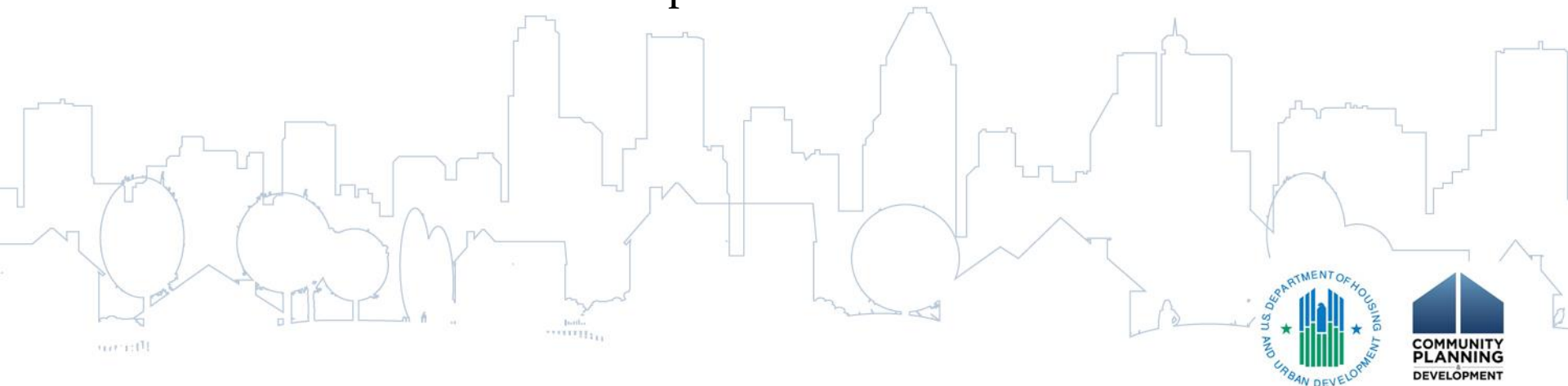
Scoring – CoC Strategic Planning and Performance (69 points)

Five Objectives--

- Ending Chronic Homelessness
- Housing Stability
- Jobs & Income Growth
- Mainstream Benefits
- Rapid Re-Housing for homeless families
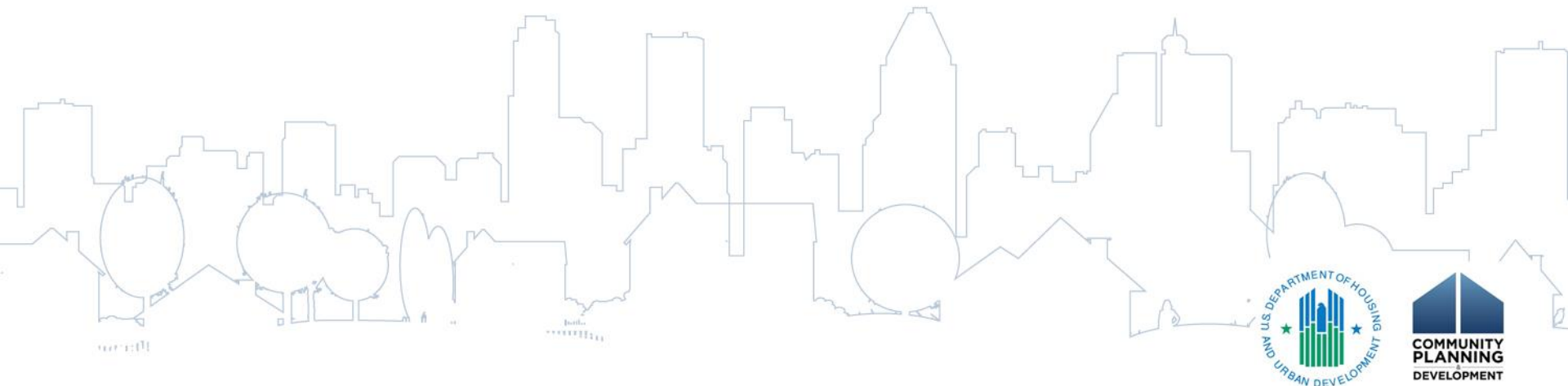
Scoring – CoC Strategic Planning and Performance

- Opening Doors
- Ending Family Homelessness
- Addressing the Needs of Victims of Domestic Violence
- Ending Youth Homelessness
- Reaching Unsheltered Homeless
- Ending Veteran Homelessness

Scoring – CoC Coordination of Housing and Services (28 Points)

- Preventing Homelessness
- Discharge Planning
- Consolidated Plan
- Emergency Solutions Grants
- Coordination with Other Funding Sources
- Public Housing Agencies

Scoring – CoC Coordination of Housing and Services

- Housing First Approach
- Centralized or Coordinated Assessment System
- Affirmatively Furthering Fair Housing
- Educational Assurances
- Preventing Involuntary Family Separation
- Affordable Care Act
- Resources for Services

Scoring – Recipient Performance (15 Points)

- Performance Monitoring
- Increasing Performance
- Increasing Capacity
- Reducing Homeless Episodes
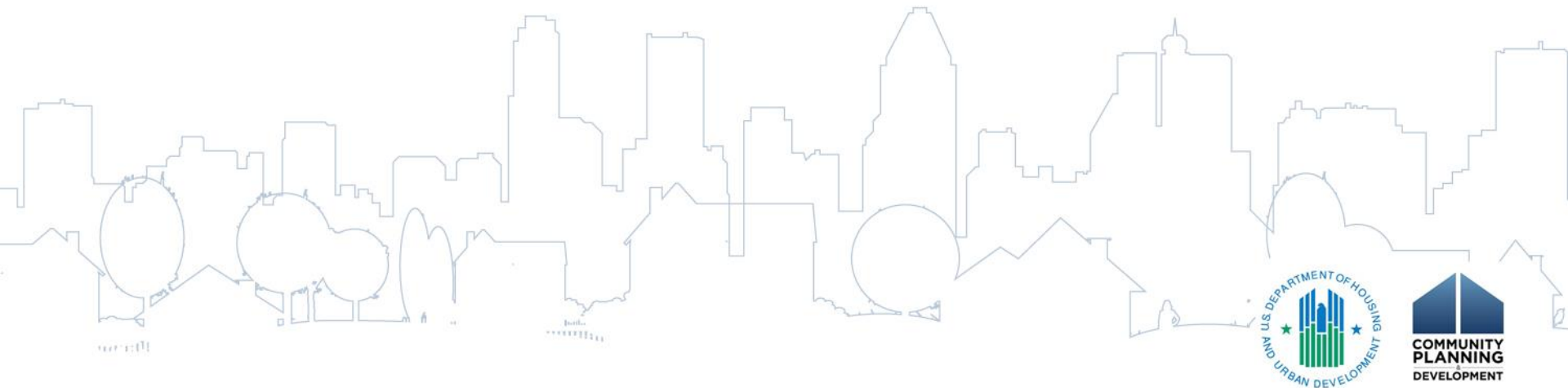- Outreach
- Tracking and Reducing Returns to Homelessness

Scoring – CoC Housing, Services and Structure (13 points)

- CoC Meetings
- Complaints
- Inclusive Structure
- Project Application Performance Metrics
- Accuracy of GIW
- Ranking and Selection Process
- Housing Inventory Count Submission

Scoring – Leveraging (5 Points)

- 100 percent participation from ALL project applications
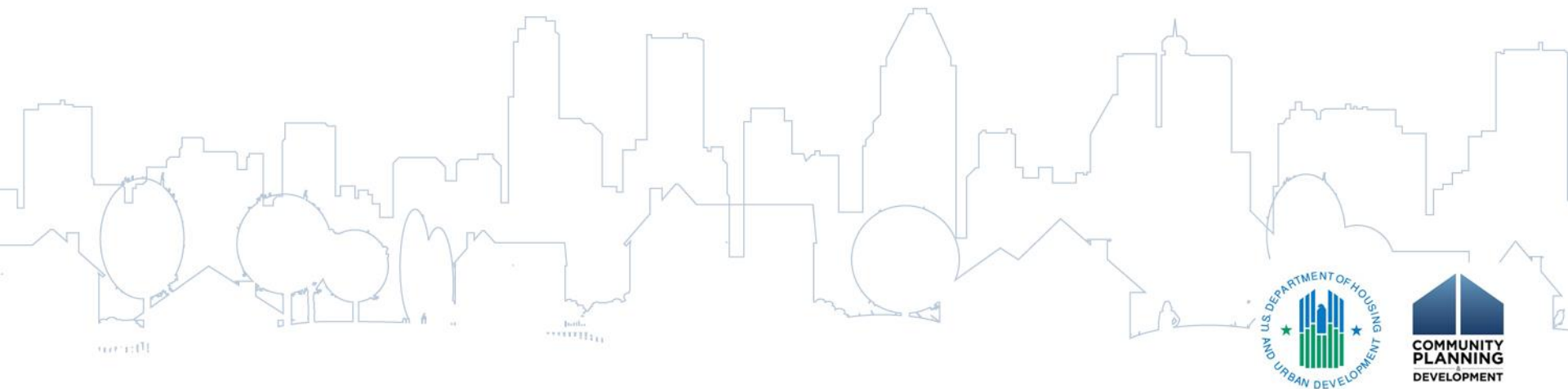- Minimum of 150 percent of leveraging
- CoC will receive up to 5 points

Scoring – HMIS (11 Points)

- HMIS Governance
- HMIS Plans
- HMIS Funding
- Bed Coverage
- Data Quality
- Entry and Exit Dates
- Required Reports

Scoring – Point-in-Time Count (9 Points)

- PIT Count and Data Submission
- Change in PIT Since 2012
- Subpopulation Data
- Methodology for Unsheltered Count

Scoring – Bonus Points (6 Points)

- Administration –
  - 100% of all project applications request 7 percent or less admin
- No SSO Projects prioritized in Tier 1
- Consolidated Plan Certification (HUD 2991) - Accuracy of Submission
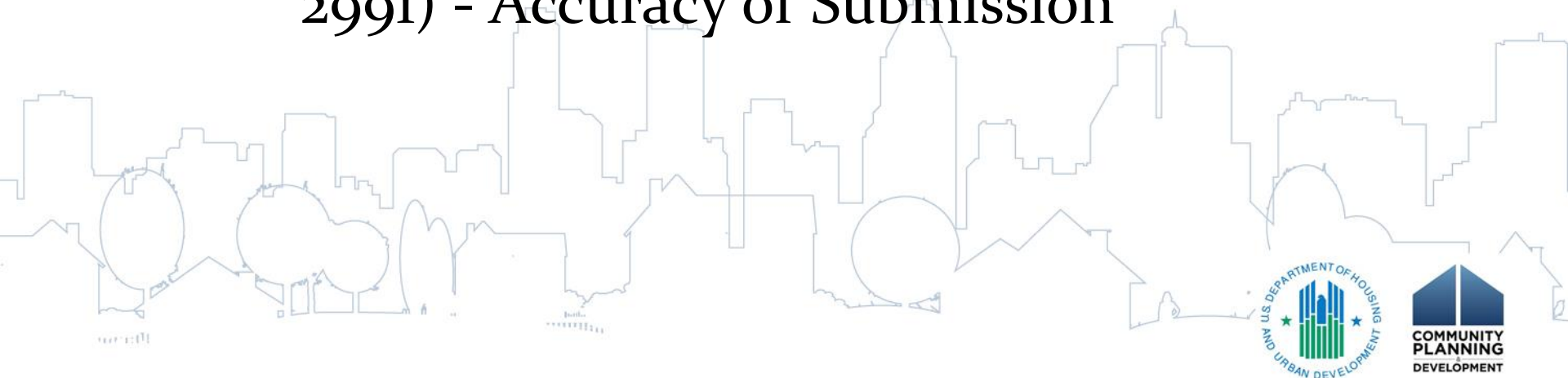
Project Application

Overview of Project Application

- Project application charts, narratives and attachments

- SF-424 Application for Federal Assistance

- SF-424 Supplement, Survey on Ensuring Equal Opportunities for Application

  - Private NFPs only

  - Voluntary

- Documentation of Applicant and Subrecipient Eligibility

Overview of Project Application

- Applicant Certifications
- Form HUD-2880, Applicant/Recipient Disclosure/Update Report
- SF-LLL, Disclosure of Lobbying of Activities
- Applicant Code of Conduct
- Form HUD-50070, Certification for a Drug-Free Workplace
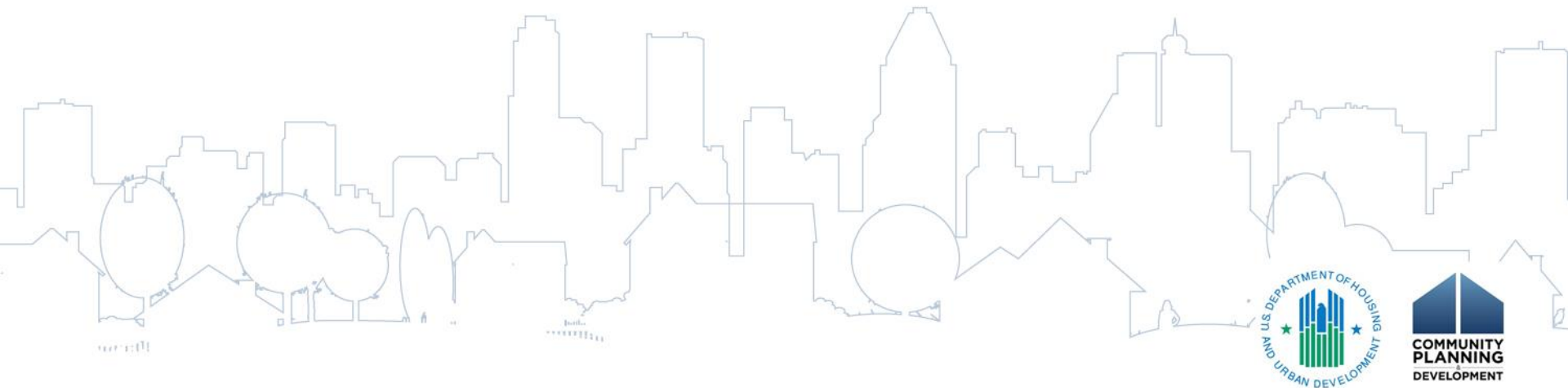
CoC Priority Listing

Priority Listing

- Consists of four project listings: new, renewal, planning, and UFA

- Listing of all project applications approved by CoC in rank order

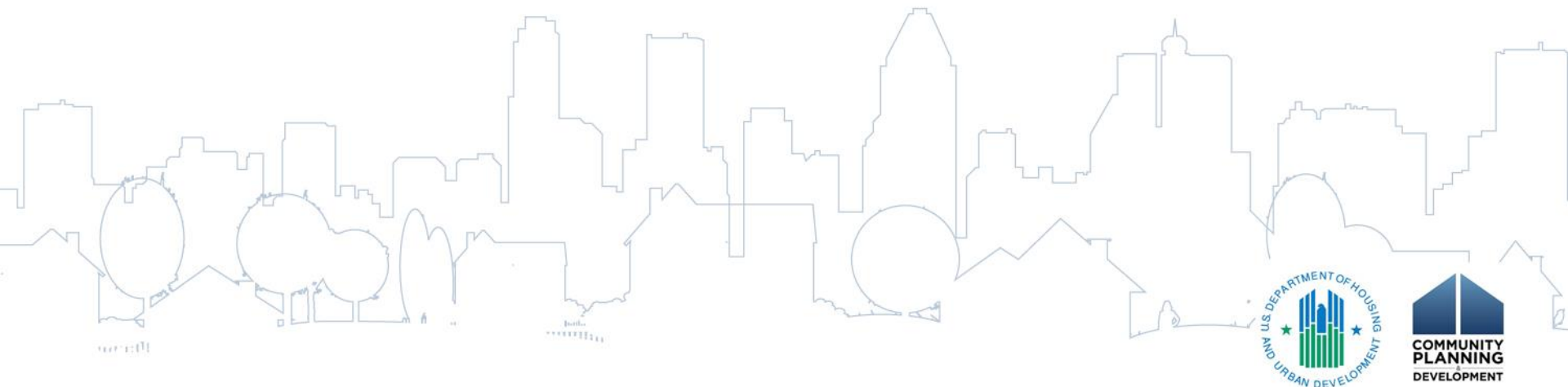- Every project assigned a unique rank number

UFA

UFA

- Designation approved by HUD
- Approved designation for UFA has been communicated to selected CAs

Helpful Hints and Reminders

Helpful Hints and Reminders

- READ the CoC Program Interim Rule as well as all sections of the General NOFA and CoC Program Competition NOFA thoroughly

- UTILIZE all detailed instructions and training materials when completing each part of the CoC Consolidated Application

- ENSURE that data entered in the CoC Application matches data reported in HDX for the PIT and HIC

- Make sure all projects on the priority lists have unique ranking numbers

- Use a separate spreadsheet to rank your projects so you can keep track of the Tier 1 amount

Tools and Resources

Tools and Resources

Visit OneCPD (www.onecpd.info) to access the following resources:

- *e-snaps* Ask a Question
- Application Training Modules/Guidance
- This broadcast and slides archived
- Listserv