RISK ANALYSIS MATRIX

The following list identifies factors that grantees may use to rank the degree of risk associated with a potential subrecipient or application submitted for funding. Grantees may develop ranking and rating criteria based on risk analysis as part of the process for the selection of subrecipients.

Project: _____

Rate each factor as high, medium, or low degree of risk

Risk Factor	Degree of Risk	Comment
Complexity		
Size of dollar amount requested		
Use of funds		
For construction or rehab		
For operation of facility		
For program only		
Type of Organization Requesting Funding		
Non-profit		
For-profit (570.201(o))		
Governmental Agency		
Housing Project		
New construction		
Rehabilitation		
Single unit/multi-unit		
Number of units		
Subrecipient's prior experience with this size and type project		
Economic Development		
Complexity of project		
Number of jobs to be created or retained		
Area benefit		
Providing direct grants and loans		
Providing technical assistance		
Subrecipient's prior experience		
Potential Environmental Concerns		
Degree of complexity		
E.I.S. needed		
Other Type of Project		
Degree of experience carrying out similar type project		
Other sources of funds indicated, but not committed		
Other funds committed		
CDBG funds only		

Risk Factor	Degree of Risk	Comment
Type of Assistance		
Grant		
Loan		
Float Loan		
Ability to repay within necessary time frame		
Program Income		
To be retained to continue with the same activity		
To be retained for a different activity		
To be returned to grantee		
Subrecipient Organization		
Newly created entity		
Well established, but no prior CDBG or Federal experience		
Prior experience with CDBG or other Federal programs		
No independent source of funding, i.e., general fundraising		
Subrecipient History, If Previously Funded		
Ability to deliver project within budget and on schedule		
Ability to anticipate and overcome past problems		
Any past monitoring issues raised		
Any special contract conditions needed		
Staffing		
Staff experienced with this type activity		
Have sufficient staff to carry out project or must hire		
Entity has significant staff turnover		
Recent Problems		
Unresolved monitoring findings		
Citizen complaints		