

# Action Plan

**Grantee:** Kentucky

**Grant:** B-11-DN-21-0001

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<b>LOCCS Authorized Amount:</b>	\$ 5,000,000.00
<b>Grant Award Amount:</b>	\$ 5,000,000.00
<b>Status:</b>	Reviewed and Approved
<b>Estimated PI/RL Funds:</b>	\$ 305,725.64
<b>Total Budget:</b>	\$ 5,305,725.64

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## Funding Sources

Funding Source	Funding Type
Lending institutions-interim financing	Financial Institution Money
Kentucky Housing Corp-State trust funds	Do not select this item.
Community Ventures Corp.	Do not select this item.
Henderson Housing Authority	Other Local Government Funds
Lenders - 1st mortgage loans	Financial Institution Money
Homebuyers - cash contribution	Personal Funds
The Housing Partnership, Inc.	Do not select this item.
Kentucky Housing Corp.-HOME funds	Do not select this item.
Equity - sale of tax credits	Do not select this item.
Louisville Metro Government	Do not select this item.
City of Paducah	Other Local Government Funds

## Narratives

### Summary of Distribution and Uses of NSP Funds:

6/30/17: Changed activity budgets to reflect projects that came-in under budget. Adjusted estimated PI to reflect current funds received.

1/28/16: Per HUD Field Office: have deleted Action Plan Amendment language in order to submit QPR ending 12/31/15.

10/30/15: Per HUD Field Office: have deleted Action Plan Amendment language in order to submit QPR.

6/15/15: Updates include the receipt of program income increased the amount available to budget to Kentucky's NSP projects.

06/30/14: Updates include reclassification of funds within various homeownership activities to reflect sales of units to below 50 percent AMI households and end-of-quarter reconciliation for each activity, funded project and overall allocation of NSP funds and program income funds received and disbursed.

09/22/14: Update includes the receipt of program income, increasing the amount available to budget to Kentucky NSP-3 projects.

06/30/14: Updates include reclassification of funds within various homeownership activities to reflect sales of units to below 50 percent AMI households and end-of-quarter reconciliation for each activity, funded project and overall allocation of NSP funds and program income funds received and disbursed.

Action Plan Amendment - February 14, 2014 The Kentucky NSP-3 Action Plan is being amended to reflect the following changes: The receipt of program income increased the amount available to budget to Kentucky's NSP project. Additionally, several subrecipients' allocations are being reduced by the Kentucky Department for Local Government (DLG) as follows: Purchase Area



Housing Corporation completed eight units, and have sold seven of those. While DLG is allowing Purchase to retain the budget to close the last home, the remainder of the allocation is being rebudgeted to other subrecipients. Purchase's reduced NSP-3 total allocation is \$1,111,145.50. Louisville Metro Government is nearing completion of their NSP-3 units, but was unable to further expand due to budgetary and time constraints. Louisville Metro's reduced total NSP-3 allocation is \$391,851.60. DLG is reallocating the balance of their unobligated funds and rebudgeting those funds to other subrecipients. The reallocation of those funds are as follows: Henderson Housing Authority has included an additional unit to its NSP-3 project, for a total NSP-3 allocation of \$930,142.82. Newport Millennium Housing Corporation had previously been asked to complete another housing unit in anticipation of program income funds. As a result, Newport's increased total NSP-3 allocation is \$941,249.40. Due to the additional capital from the receipt of program income, DLG's allocation of admin is being increased for a total NSP-3 allocation of \$294,842.04. 01/28/14: Updates were done by the previous program administrator. Typical updates included reclassification of funds to or from various activities to reflect sales of units to <50% or >50% AMI households, end-of-quarter reconciliation for each activity, funded project, and overall allocation of NSP funds to Commonwealth and reconciliation of all program income received/disbursed. Action Plan Amendment February 13, 2013: The Kentucky NSP-3 Action Plan is being amended to reflect the following proposed changes: - Withdrawal by Community Ventures Corporation, Lexington/Fayette County, Ky., due to lack of eligible properties that can be cost effectively acquired/rehabbed or newly constructed within the agency's NSP-3 target area. Funds have been redirected to NSP-3 high-performing grantee Purchase Area Housing Corporation, in accordance with the KY NSP action plan and program policies. Purchase Area Housing Corporation

### **How Fund Use Addresses Market Conditions:**

Information regarding how the use of NSP3 funding addresses market conditions is contained in this section and is not repeated within the individual activity narratives, to avoid unnecessary duplication and reduce the overall length of this substantial amendment to the action plan. NEWPORT MILLENNIUM HOUSING CORPORATION III Newport Millennium will utilize \$750,000 of NSP3 for continued stabilization of the Ann Street neighborhood in the City of Newport. The NSP3 need score for the area is 14. The neighborhood includes 1,247 total houses, with 90% of residents having incomes below 120% of area median and 74% having incomes below 80%. Of the 1,173 residential addresses in the neighborhood, more than 15% are vacant based on March 2010 USPS data. Conversely, 35% of mortgages issued between 2004 and 2007 are considered high-cost; there have been only four REOs from July 2009 to June 2010. Home prices have fallen 4.6%. With its NSP1 funding, Newport Millennium acquired eight foreclosed or abandoned properties and rehabbed or newly constructed rental units for households with incomes below 50% of area median. To further strengthen this area, the agency will acquire property and newly-construct five single family dwellings for homeownership, so that there continues to be a good blend of rental vs. homeownership housing. Only one of the homeownership units is targeted for sale to a household with income <50%, as the area already reflects 74% of residents with incomes <80%. The intent is to continue to stabilize the neighborhood by investing NSP and creating an affordable mix of housing, with marketing and sale of most NSP3 homes to buyers with incomes between 80 and 120% of AMI. The NSP1 and NSP3 investments continue ongoing neighborhood stabilization efforts in the area, which have included implementation of a HOPE VI project by the Housing Authority of Newport (HAN). HAN created more than 200 units of affordable rental housing to replace obsolete, deteriorated public housing being demolished for new development. To achieve this level of production, HAN purchased and cleared dilapidated privately owned properties and built new units. HAN also acquired historic residential properties and rehabilitated them for use as affordable housing and some acquired non-residential buildings, converting these properties into additional affordable rental housing. In all, more than 30 affordable rental units were created in the Ann Street neighborhood census tract by HOPE VI activities. Within a block of the census tract boundaries, an additional 50 standard, affordable rental units were created through new construction, rehabilitation and adaptive reuse projects. While emphasis of HOPE VI was replacement of affordable rental units lost to demolition, there were limited opportunities to undertake projects designed to give creditworthy households an opportunity to achieve home ownership. In and around CT 505, more than 30 units of new construction and rehabilitated housing were developed by HAN and its subsidiaries for sale to low to moderate income homebuyers. These projects were made possible by the innovative combination of HOPE VI, CDBG, KHC, HAN and private lender financing. Having addressed the requirement to replace affordable rental units lost due to HOPE VI redevelopment, HAN and Newport Millennium focus on homeownership for LMI persons reside in Newport than in any other community eligible for CDBG funding from the Kentucky Department for Local Government (DLG). Newport also has the highest percentage of LMI residents (66.8%) of all Kentucky cities with populations of more than 4,000 persons eligible to seek CDBG funding from DLG. Fewer than half (44.2%) of Newport's households are homeowners, compared with a 75.2% homeownership rate in Campbell County, 71.2% throughout the Northern Kentucky Area Development District boundaries

### **Ensuring Continued Affordability:**

Kentucky requires NSP grantees to ensure, to the maximum extent practicable and for the longest feasible term, that the sale, rental, or redevelopment of abandoned and foreclosed upon homes and residential properties remain affordable to households whose incomes do not exceed 120 percent of area median income (AMI). For units that were originally assisted in the Low Income Targeting Set-aside to meet the 50 percent AMI, HUD requires that these units must remain affordable to individuals or families whose incomes do not exceed 50 percent of AMI for the duration of the period of affordability.

All homebuyer and rental units assisted must include provisions for long-term affordability restrictions meeting at least the following requirements:

HOMEBUYER: NSP Subsidy Per Unit: Under \$15,000, five years; \$15,000 to \$40,000, 10 years; above \$40,000, 15 years; new construction or acquisition of a newly-constructed unit, 20 years. NSP subgrantees may choose to implement more stringent affordability requirements than the minimum listed here to ensure that the properties remain affordable for as long as possible.

RENTAL: NSP assistance per unit: Less than \$15,000, five years; \$15,000 to \$40,000, 10 years; above \$40,000, 15 years; new construction or acquisition of a newly-constructed unit, 20 years.

Continued affordability will be ensured through deed restrictions, covenants running with the land, or other mechanisms approved by HUD, in accordance with the requirements of 24 CFR 92.252 for rental units and 24 CFR 92.254 for owner-occupied units.

For awardees that are subrecipients all rental income above that needed for operations, maintenance and reserves is considered program income and must be returned to DLG. This provision does not provide for a sliding scale or shared return of those funds. Applicants should be aware of this provision when proposing rental housing activities.



### **Definition of Blighted Structure:**

Kentucky Revised Statutes (KRS) 99.705 Definitions. Full version available on DLG's website at:

<http://www.dlg.ky.gov/NR/rdonlyres/ABC7908B-00A3-41F7-8CC9-B1F6369AE505/0/BlightedProperties.doc>

### **Definition of Affordable Rents:**

Kentucky's NSP-3 program will utilize Section 92.252(a) of the HOME rental requirements and therefore the maximum HOME rents that can be charged are the lesser of: (1) the fair market rent for existing housing for comparable units in the area as established by HUD under 24 CFR Part 888.111; or (2) A rent that does not exceed 30 percent of the adjusted income of a family whose annual income equals 65 percent of the median income for the area, as determined by HUD, with adjustments for number of bedrooms in the unit.

DLG has determined that the fair market rents are the lesser of the two standards described above. Therefore, for NSP-assisted units, the maximum amount of rent charged may not exceed the HUD Fair Market Rent (FMR) during the term of affordability. HUD Fair Market Rents are defined at 92.252(a)(1), and include all utilities. 2010 HOME program rent limits can be found at: <http://www.hud.gov/offices/cpd/affordablehousing/programs/home/limits/rent/2010>

Because fair market rents reflect market conditions for unassisted units, the above rent limit is applicable to all income levels, inclusive of 80-120% of area median.

### **Housing Rehabilitation/New Construction Standards:**

Grantees must meet all local housing codes and occupancy standards for new or reconstructed units. At a minimum, the grantee must adopt the 2006 International Code Council (ICC) Property Maintenance Code. All newly-constructed units must meet 2007 Kentucky Residential Code. To comply with HUD's Lead Safe Housing Rule (LSHR), rehabilitation to all units built prior to 1978 must follow prescribed rehabilitation practices and pass final clearance before re-occupancy of the unit. Applicants are strongly encouraged to incorporate universal design, green building, energy-efficiency improvements, and handicapped accessibility features in units where feasible.

DLG requires that:

- o All gut rehabilitation or new construction (i.e., general replacement of the interior of a building that may or may not include changes to structural elements such as flooring systems, columns or load bearing interior or exterior walls) of residential buildings up to three stories must be designed to meet the standard for Energy Star Qualified New Homes.
- o All gut rehabilitation or new construction of mid -or high-rise multifamily housing must be designed to meet American Society of Heating, Refrigerating, and Air-Conditioning Engineers (ASHRAE) Standard 90.1-2004, Appendix G plus 20 percent (which is the Energy Star standard for multifamily buildings piloted by the Environmental Protection Agency and the Department of Energy).
- o Other rehabilitation must meet these standards to the extent applicable to the rehabilitation work undertaken, e.g., replace older obsolete products and appliances (such as windows, doors, lighting, hot water heaters, furnaces, boilers, air conditioning units, refrigerators, clothes washers and dishwashers) with Energy Star-46 labeled products.
- o Water efficient toilets, showers, and faucets, such as those with the WaterSense label, must be installed.
- o Where relevant, the housing should be improved to mitigate the impact of disasters (e.g., earthquake, hurricane, flooding, fires).

DLG also encourages the adoption of energy efficient and environmentally-friendly green elements as part of NSP3 program design. Additional tools on incorporating green rehabilitation standards can be found on the NSP Resource Exchange at [www.hud.gov/nspta](http://www.hud.gov/nspta).

### **Vicinity Hiring:**

To the maximum extent possible, Kentucky's awardees will encourage contractors and subcontractors to hire employees who reside in the vicinity of the NSP3 projects, and contract with small businesses that are owned and operated by persons residing in the vicinity of the project. While vicinity hiring and Section 3 are different, Kentucky will utilize many of the same strategies for vicinity hiring as it does for meeting or exceeding Section 3 requirements.

In Section 3 activity for calendar 2010, in relation to the Commonwealth's \$37.4 million NSP allocation, the program:

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- Created 12 new professional FTEs, 10 of which (83%) were Section 3 hires;

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- Created eight new technician FTEs, of which 3 were Section 3 hires;

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- Created two office/clerical FTEs, both of which were Section 3 hires;
- Created nine FTEs for unskilled laborers, all of which were Section 3 hires;
- In total, 24 of 31 FTEs created through NSP activities went to Section 3 residents.

Kentucky's NSP program also, during calendar 2010:

- Awarded slightly more than \$20 million in construction contracts. Just over \$2 million, or 10.2%, was awarded through 14 separate contracts to Section 3 concerns.
- Awarded \$2.4 million in non-construction contracts, of which \$119,000, or 4.8%, was awarded through eight separate contracts to Section 3 concerns.

Kentucky's subrecipients and developers undertook a variety of Section 3 efforts during the year, including recruiting low-income residents through local media, housing authority resident newsletters, outreach to community organizations, and use of the Governor's Office of Minority Businesses' Kentucky Procurement Assistance Program. Through the latter, organizations provide bids, requests for proposals, etc., to KPAP, which then disseminates it to dozens of disadvantaged, woman-owned, minority-owned and/or Section 3 businesses.

Examples of other efforts with NSP1, but are not limited to:

- City of Covington has partnered with the Housing Authority of Covington to recruit and train Section 3 residents through their job training programs.
- The Housing Authority of Bowling Green advertizes all their requests for proposals in their resident newsletter.
- One of the development partners used by the City of Covington and the City of Ludlow (Housing Opportunities of Northern Kentucky) has been designated a Section 3 business as of September 2010.
- All KY NSP subrecipients are required to include Section 3 language in the RFPs they submit so that any contractors hired will pass through the requirements.
- DLG staff has done a training session specific to Section 3, LEP, and fair housing for all of its subgrantees. As part of this, all subgrantees were encouraged to provide copies of all requests for proposals/bids to the Kentucky Procurement Assistance Program which, in turn, provides the RFP/Q/bids to Section 3, MBE/WBE and other disadvantaged businesses. The majority of the state's subgrantees now routinely use this process as part of their Section 3 outreach.

**Procedures for Preferences for Affordable Rental Dev.:**

Together with its NSP-3 project partners, DLG reviewed local market data with regard to unemployment rates, concentration of low-income residency, rental vs. homeownership, and vacancy data. While all partners have the capacity to undertake both homeownership and rental activity, each has tailored its project to meet the specific housing type required to improve neighborhood stability. Where existing housing is primarily rental (Paducah, Newport), partners are proposing homeownership housing so that resident tenure within distressed neighborhoods becomes longer-term vs transient via rental, and increase resident involvement in stabilization efforts. Similar analysis has been conducted regarding the "balancing" income mix required to improve neighborhood stability.

In Henderson, due to high unemployment, additional rental housing is proposed. The target area includes a significant amount of rental housing and should market and employment conditions improve, additional efforts will be made to add homeownership housing.

In Perry County, the area is primarily homeownership with few to no resources for safe, decent and affordable supportive rental housing for persons with disabilities, therefore rental is proposed.

In the Parkland, significant redevelopment has occurred and additional affordable rental housing is needed, therefore rental is proposed. DLG is proposing funding to six high-performing NSP1 subgrantees who serve areas of greatest need eligible for NSP3 investment.

Louisville Metro Government will create affordable rental housing for households with incomes at or below 120% of area median, utilizing \$500,000 of NSP3 to leverage other funding.

The Housing Authority of Henderson will create affordable rental housing for households with incomes at or below 50% of area median, utilizing \$650,000 of NSP3 and its own and/or local funding. FAHE will utilize its NSP-3 funding to partner with Kentucky River Community Cares to newly-construct permanent affordable rental housing, with a portion of units set aside for occupancy by special needs populations.



**Grantee Contact Information:**

01/28/2014:

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**Project Summary**

Project #	Project Title	Grantee Activity #	Activity Title
9999	Restricted Balance	<i>No activities in this project</i>	
ADMIN	Administrative funds	NSP-ADM-0000-11N-031	NSP-ADM-0000-11N-031-Henderson
		NSP-ADM-0000-11N-037	NSP-ADM-0000-11N-037/Newport
		NSP-ADM-0000-11N-044	NSP-ADM-0000-11N-044/HPI
		NSP-ADM-0000-11N-045	NSP-ADM-0000-11N-045/FAHE
		NSP-ADM-0000-11N-051	NSP-ADM-0000-11N-051-Purchase
		NSP-ADM-0000-11N-052	NSP-ADM-0000-11N-052-Louisville
		NSP-ADM-0000-11N-DLG	NSP-ADM-0000-11N-DLG
Eligible Use E	Eligible Use E	NSP-DLG LOAN SERVICING RECEIPTS	NSP-3 loan servicing receipts
		NSP-E-0000-11N-031/LI	NSP-E-0000-11N-031/LI - Henderson
		NSP-E-0000-11N-037	NSP-E-0000-11N-037-Newport
		NSP-E-0000-11N-044	NSP-E-0000-11N-044-HPI
		NSP-E-0000-11N-045/LI	NSP-E-0000-11N-045/LI-FAHE
		NSP-E-0000-11N-051	NSP-E-0000-11N-051-Purchase
		NSP-E-0000-11N-051/LI	NSP-E-0000-11N-051/LI-Purchase
		NSP-E-0000-11N-052	NSP-E-0000-11N-052-Louisville
KYNSP3-EUB	KYNSP3-EUB	NSP-B-0000-11N-052	NSP-B-0000-11N-052-Louisville



# Activities

**Project # / Title:** ADMIN / Administrative funds

**Grantee Activity Number:** NSP-ADM-0000-11N-031  
**Activity Title:** NSP-ADM-0000-11N-031-Henderson

**Activity Type:**

Administration

**Project Number:**

ADMIN

**Projected Start Date:**

03/15/2011

**Project Draw Block by HUD:**

Not Blocked

**Activity Draw Block by HUD:**

Not Blocked

**Block Drawdown By Grantee:**

Not Blocked

**National Objective:**

Not Applicable - (for Planning/Administration or Unprogrammed Funds only)

**Environmental Assessment:**

COMPLETED

**Benefit Report Type:**

NA

**Activity Status:**

Under Way

**Project Title:**

Administrative funds

**Projected End Date:**

05/01/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 38,204.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 38,204.00

**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Henderson Housing Authority

**Proposed budgets for organizations carrying out Activity:**

**Responsible Organization**

Henderson Housing Authority

**Organization Type**

Local Government

**Proposed Budget**

\$ 44,242.03

**Location Description:**



Martin Luther King Jr. Boulevard area of the City of Henderson, Ky.; Blocks comprising the target neighborhood are: 211019170435866020400U1, 211019170435866020400U2.

**Activity Description:**

Administrative funds for project. The Housing Authority of Henderson will utilize \$650,000 in NSP3 funding to newly construct approximately five rental units in the Martin Luther King Jr. Blvd. neighborhood in Henderson. All units will provide permanent housing for households with incomes at or below 50% of area median. This effort will serve to further stabilize the targeted neighborhood, in which approximately \$700,000 in NSP-1 funding was invested to produce seven affordable rental units. All units being constructed by the Housing Authority of Henderson are LEEDS Silver Standard. The Housing Authority will contribute approximately \$27,000 in owned property to the project.

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**Grantee Activity Number:** NSP-ADM-0000-11N-037  
**Activity Title:** NSP-ADM-0000-11N-037/Newport

**Activity Type:**

Administration

**Project Number:**

ADMIN

**Projected Start Date:**

03/15/2011

**Project Draw Block by HUD:**

Not Blocked

**Activity Draw Block by HUD:**

Not Blocked

**Block Drawdown By Grantee:**

Not Blocked

**National Objective:**

Not Applicable - (for Planning/Administration or Unprogrammed Funds only)

**Environmental Assessment:**

COMPLETED

**Benefit Report Type:**

NA

**Activity Status:**

Under Way

**Project Title:**

Administrative funds

**Projected End Date:**

12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 44,348.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 44,348.00

**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Newport Millennium Housing Corp. III

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
Newport Millennium Housing Corp. III	Non-Profit	\$ 37,500.00

**Location Description:**

The Ann Street neighborhood in Newport, Ky., which includes: 210379254455884050500U1, 210379254455884050500U2, 210379254455884050500U3.

**Activity Description:**

Administrative services.





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**Grantee Activity Number:** NSP-ADM-0000-11N-044  
**Activity Title:** NSP-ADM-0000-11N-044/HPI

**Activity Type:**

Administration

**Project Number:**

ADMIN

**Projected Start Date:**

03/15/2011

**Project Draw Block by HUD:**

Not Blocked

**Activity Draw Block by HUD:**

Not Blocked

**Block Drawdown By Grantee:**

Not Blocked

**National Objective:**

Not Applicable - (for Planning/Administration or Unprogrammed Funds only)

**Environmental Assessment:**

COMPLETED

**Benefit Report Type:**

NA

**Activity Status:**

Completed

**Project Title:**

Administrative funds

**Projected End Date:**

12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 1,000.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 1,000.00

**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Housing Partnership, The

**Proposed budgets for organizations carrying out Activity:**

**Responsible Organization**

Housing Partnership, The

**Organization Type**

Non-Profit

**Proposed Budget**

\$ 1,000.00

**Location Description:**

Targeted neighborhoods in Louisville/Jefferson County, Ky.

**Activity Description:**

Project has proven infeasible; all funds other than \$1,000 drawn for administrative services (through the point of the project becoming a failed acquisition) are being recaptured.



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**Grantee Activity Number:** NSP-ADM-0000-11N-045  
**Activity Title:** NSP-ADM-0000-11N-045/FAHE

**Activity Type:**

Administration

**Project Number:**

ADMIN

**Projected Start Date:**

03/15/2011

**Project Draw Block by HUD:**

Not Blocked

**Activity Draw Block by HUD:**

Not Blocked

**Block Drawdown By Grantee:**

Not Blocked

**National Objective:**

Not Applicable - (for Planning/Administration or Unprogrammed Funds only)

**Environmental Assessment:**

UNDERWAY

**Benefit Report Type:**

NA

**Activity Status:**

Under Way

**Project Title:**

Administrative funds

**Projected End Date:**

12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 72,503.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 72,503.00

**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

FAHE

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
FAHE	Non-Profit	\$ 72,503.00

**Location Description:**

Perry County, Ky., in the targeted neighborhoods of Jeff/Viper/Fusonia, including: 211939099299999970900R1, 211939357699999970800R1, 211939357699999970800R2, 211939357699999970800R3.

**Activity Description:**

Administrative services.





**Grantee Activity Number:** NSP-ADM-0000-11N-051  
**Activity Title:** NSP-ADM-0000-11N-051-Purchase

**Activity Type:**

Administration

**Project Number:**

ADMIN

**Projected Start Date:**

03/15/2011

**Project Draw Block by HUD:**

Not Blocked

**Activity Draw Block by HUD:**

Not Blocked

**Block Drawdown By Grantee:**

Not Blocked

**National Objective:**

Not Applicable - (for Planning/Administration or Unprogrammed Funds only)

**Environmental Assessment:**

COMPLETED

**Benefit Report Type:**

NA

**Activity Status:**

Under Way

**Project Title:**

Administrative funds

**Projected End Date:**

12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 52,381.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 52,381.00

**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Purchase Housing

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
Purchase Housing	Non-Profit	\$ 52,381.00

**Location Description:**

Paducah, Ky., including the Fountain Avenue and adjoining neighborhoods, including:211459264858836030300U1, 211459264858836030300U2, 211459264858836030400U1, 211459264858836030400U2, 211459264858836030500U2, 211459264858836030500U3.

**Activity Description:**

Administrative services.



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**Grantee Activity Number:** NSP-ADM-0000-11N-052  
**Activity Title:** NSP-ADM-0000-11N-052-Louisville

**Activity Type:**

Administration

**Project Number:**

ADMIN

**Projected Start Date:**

10/31/2011

**Project Draw Block by HUD:**

Not Blocked

**Activity Draw Block by HUD:**

Not Blocked

**Block Drawdown By Grantee:**

Not Blocked

**National Objective:**

Not Applicable - (for Planning/Administration or Unprogrammed Funds only)

**Environmental Assessment:**

UNDERWAY

**Benefit Report Type:**

NA

**Activity Status:**

Under Way

**Project Title:**

Administrative funds

**Projected End Date:**

12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 9,552.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 9,552.00

**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Louisville Metro

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
Louisville Metro	Local Government	\$ 9,552.00

**Location Description:**

Louisville/Jefferson County, Parkland neighborhood, including: 21111921104800001000U3, 21111921104800001500U1, 21111921104800001500U2, 21111921104800001500U4, 21111921104800001700U3

**Activity Description:**

Administrative services.





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**Grantee Activity Number:** NSP-ADM-0000-11N-DLG  
**Activity Title:** NSP-ADM-0000-11N-DLG

**Activity Type:**

Administration

**Project Number:**

ADMIN

**Projected Start Date:**

03/15/2011

**Project Draw Block by HUD:**

Not Blocked

**Activity Draw Block by HUD:**

Not Blocked

**Block Drawdown By Grantee:**

Not Blocked

**National Objective:**

Not Applicable - (for Planning/Administration or Unprogrammed Funds only)

**Environmental Assessment:**

EXEMPT

**Benefit Report Type:**

NA

**Activity Status:**

Under Way

**Project Title:**

Administrative funds

**Projected End Date:**

02/28/2014

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 294,841.04

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 294,841.04

**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Department for Local Government2

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
Commonwealth of KY-Dept. for Local Government	State Agency	\$ 380,000.00
Department for Local Government2	State Agency	\$ 30,000.00

**Location Description:**

All NSP3 targeted neighborhoods; DLG is the grantee and provides overall project management, administration, training, monitoring and compliance review.

**Activity Description:**



Administrative services.

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**Project # / Title: Eligible Use E / Eligible Use E**

**Grantee Activity Number: NSP-DLG LOAN SERVICING RECEIPTS**  
**Activity Title: NSP-3 loan servicing receipts**

**Activity Type:**

Construction of new housing

**Project Number:**

Eligible Use E

**Projected Start Date:**

01/01/2013

**Project Draw Block by HUD:**

Not Blocked

**Activity Draw Block by HUD:**

Not Blocked

**Block Drawdown By Grantee:**

Not Blocked

**National Objective:**

LMMI: Low, Moderate and Middle Income National Objective for NSP Only

**Environmental Assessment:**

EXEMPT

**Benefit Report Type:**

Direct (Households)

**Activity Status:**

Under Way

**Project Title:**

Eligible Use E

**Projected End Date:**

01/01/2033

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 1.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 1.00

**Proposed Beneficiaries**

# Owner Households

Total	Low	Mod	Low/Mod%
1		1	100.00
1		1	100.00

# of Households

**Proposed Accomplishments**

# of Singlefamily Units

# of Housing Units

**Total**

1

1



**Activity is being carried out by Grantee:**

Yes

**Activity is being carried out through:**

Grantee Employees and Contractors

**Organization carrying out Activity:**

Commonwealth of KY-Dept. for Local Government

**Proposed budgets for organizations carrying out Activity:**

**Responsible Organization**

Commonwealth of KY-Dept. for Local Government

**Organization Type**

State Agency

**Proposed Budget**

\$ 1.00

**Location Description:**

N/A - activity is for recording loan servicing receipts (program income)

**Activity Description:**

N/A - activity is for recording loan servicing receipts (program income)

**Grantee Activity Number:** NSP-E-0000-11N-031/LI  
**Activity Title:** NSP-E-0000-11N-031/LI - Henderson

**Activity Type:**  
 Construction of new housing

**Project Number:**  
 Eligible Use E

**Projected Start Date:**  
 03/15/2011

**Project Draw Block by HUD:**  
 Not Blocked

**Activity Draw Block by HUD:**  
 Not Blocked

**Block Drawdown By Grantee:**  
 Not Blocked

**National Objective:**  
 LH25: Funds targeted for housing for households whose incomes are at or under 50% Area Median Income.

**Environmental Assessment:**  
 COMPLETED

**Benefit Report Type:**  
 Direct (Households)

**Activity Status:**  
 Under Way

**Project Title:**  
 Eligible Use E

**Projected End Date:**  
 05/01/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 893,304.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 27,500.00

**Total Funds:** \$ 920,804.00

<b>Proposed Beneficiaries</b>	<b>Total</b>	<b>Low</b>	<b>Mod</b>	<b>Low/Mod%</b>
<b># Renter Households</b>	5	5		100.00
<b># of Households</b>	5	5		100.00

<b>Proposed Accomplishments</b>	<b>Total</b>
<b># of Singlefamily Units</b>	5
<b># of Housing Units</b>	5
<b># ELI Households (0-30% AMI)</b>	
<b>#Units <math>\geq</math> other green</b>	5
<b>#Sites re-used</b>	5
<b>#Units exceeding Energy Star</b>	5
<b>#Units with bus/rail access</b>	5
<b>#Low flow showerheads</b>	5
<b>#Low flow toilets</b>	5



**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Henderson Housing Authority

**Proposed budgets for organizations carrying out Activity:**

**Responsible Organization**

Henderson Housing Authority

**Organization Type**

Local Government

**Proposed Budget**

\$ 650,000.00

**Funding Source Name**

Henderson Housing Authority

**Matching Funds**

Yes

**Funding Amount**

\$ 27,500.00

**Location Description:**

The Housing Authority of Henderson will utilize \$650,000 in NSP3 funding to newly construct approximately five rental units in the Martin Luther King Jr. Blvd. neighborhood in Henderson. Blocks comprising the target neighborhood are: 211019170435866020400U1, 211019170435866020400U2.

**Activity Description:**

24 CFR 570.201(a) Acquisition, (b) Disposition; (c) Public facilities and improvements; (e) Public services for housing counseling, but only to the extent that counseling beneficiaries are limited to prospective purchasers or tenants of the redeveloped properties; (i) Relocation, and (n) Direct homeownership assistance. 24 CFR 570.202 Eligible rehabilitation and preservation activities for demolished or vacant properties.

The Housing Authority of Henderson will utilize \$650,000 in NSP3 funding to newly construct approximately five rental units in the Martin Luther King Jr. Blvd. neighborhood in Henderson. All units will provide permanent housing for households with incomes at or below 50% of area median. This effort will serve to further stabilize the targeted neighborhood, in which approximately \$700,000 in NSP-1 funding was invested to produce seven affordable rental units. All units being constructed by the Housing Authority of Henderson are LEEDS Silver Standard. The Housing Authority will contribute approximately \$27,000 in owned property to the project



**Grantee Activity Number:** NSP-E-0000-11N-037  
**Activity Title:** NSP-E-0000-11N-037-Newport

**Activity Type:**  
 Construction of new housing

**Project Number:**  
 Eligible Use E

**Projected Start Date:**  
 03/15/2011

**Project Draw Block by HUD:**  
 Not Blocked

**Activity Draw Block by HUD:**  
 Not Blocked

**Block Drawdown By Grantee:**  
 Not Blocked

**National Objective:**  
 LMMI: Low, Moderate and Middle Income National Objective for NSP Only

**Environmental Assessment:**  
 COMPLETED

**Benefit Report Type:**  
 Direct (Households)

**Activity Status:**  
 Under Way

**Project Title:**  
 Eligible Use E

**Projected End Date:**  
 12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 896,428.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 410,000.00

**Total Funds:** \$ 1,306,428.00

Proposed Beneficiaries	Total	Low	Mod	Low/Mod%
# Owner Households	4		3	75.00
# of Households	4		3	75.00

Proposed Accomplishments	Total
# of Singlefamily Units	4
# of Housing Units	4
#Sites re-used	4
#Units exceeding Energy Star	4
#Low flow showerheads	4
#Low flow toilets	4

**Activity is being carried out by Grantee:**  
 No

**Activity is being carried out through:**

**Organization carrying out Activity:**  
 Newport Millennium Housing Corp. III

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
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Newport Millennium Housing Corp. III

Non-Profit

\$ 771,696.00

<b>Funding Source Name</b>	<b>Matching Funds</b>	<b>Funding Amount</b>
<b>Lenders - 1st mortgage loans</b>	No	\$ 240,000.00
<b>Lending institutions-interim financing</b>	No	\$ 160,000.00
<b>Homebuyers - cash contribution</b>	No	\$ 10,000.00

**Location Description:**

City of Newport, Ky., Ann Street neighborhood, including: 210379254455884050500U1, 210379254455884050500U2, 210379254455884050500U3.

**Activity Description:**

24 CFR 570.201(a) Acquisition, (b) Disposition; (c) Public facilities and improvements; (e) Public services for housing counseling, but only to the extent that counseling beneficiaries are limited to prospective purchasers or tenants of the redeveloped properties; (i) Relocation, and (n) Direct homeownership assistance. 24 CFR 570.202 Eligible rehabilitation and preservation activities for demolished or vacant properties. 24 CFR 570.204 Community based development organizations. Newport Millennium Housing Corporation III will re-develop approximately four vacant properties and create four single family homes to be sold to income-eligible buyers.





**Grantee Activity Number:** NSP-E-0000-11N-044  
**Activity Title:** NSP-E-0000-11N-044-HPI

**Activity Type:**  
 Construction of new housing

**Project Number:**  
 Eligible Use E

**Projected Start Date:**  
 03/15/2011

**Project Draw Block by HUD:**  
 Not Blocked

**Activity Draw Block by HUD:**  
 Not Blocked

**Block Drawdown By Grantee:**  
 Not Blocked

**National Objective:**  
 LMMI: Low, Moderate and Middle Income National Objective for NSP Only

**Environmental Assessment:**  
 COMPLETED

**Benefit Report Type:**  
 Direct (Households)

**Activity Status:**  
 Completed

**Project Title:**  
 Eligible Use E

**Projected End Date:**  
 12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 10,100.00  
**Most Impacted and Distressed Budget:** \$ 0.00  
**Other Funds:** \$ 0.00  
**Total Funds:** \$ 10,100.00

Proposed Beneficiaries	Total	Low	Mod	Low/Mod%
# Owner Households				0.0
# of Households				0.0

Proposed Accomplishments	Total
# of Singlefamily Units	
# of Housing Units	
# ELI Households (0-30% AMI)	
Activity funds eligible for DREF (Ike Only)	
#Units $\zeta$ other green	
#Sites re-used	
#Units exceeding Energy Star	
#Units with bus/rail access	
#Low flow showerheads	
#Low flow toilets	
#Units with solar panels	



**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Housing Partnership, The

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
Housing Partnership, The	Non-Profit	\$ 10,100.00

**Location Description:**

The Housing Partnership's proposed NSP-3 project proved infeasible; funds are being recaptured and reallocated.

**Activity Description:**

The Housing Partnership's proposed NSP-3 project proved infeasible; funds other than those expended for due diligence activities (up to point of properties becoming a failed acquisition) are being recaptured and reallocated.



**Grantee Activity Number:**  
**Activity Title:**

**NSP-E-0000-11N-045/LI**  
**NSP-E-0000-11N-045/LI-FAHE**

**Activity Type:**

Construction of new housing

**Project Number:**

Eligible Use E

**Projected Start Date:**

11/01/2011

**Project Draw Block by HUD:**

Not Blocked

**Activity Draw Block by HUD:**

Not Blocked

**Block Drawdown By Grantee:**

Not Blocked

**National Objective:**

LH25: Funds targeted for housing for households whose incomes are at or under 50% Area Median Income.

**Environmental Assessment:**

UNDERWAY

**Benefit Report Type:**

Direct (Households)

**Activity Status:**

Under Way

**Project Title:**

Eligible Use E

**Projected End Date:**

12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 1,450,375.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 1,450,375.00

**Proposed Beneficiaries**

# Renter Households

	Total	Low	Mod	Low/Mod%
# Renter Households	10	10		100.00
# of Households	10	10		100.00

# of Households

**Proposed Accomplishments**

# of Multifamily Units

# of Housing Units

# ELI Households (0-30% AMI)

Activity funds eligible for DREF (Ike Only)

#Units  $\neq$  other green

#Sites re-used

#Units exceeding Energy Star

#Units with bus/rail access

#Low flow showerheads

#Low flow toilets

#Units with solar panels

**Total**

10

10

10

1

10

10



**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

FAHE

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
FAHE	Non-Profit	\$ 1,450,375.00

**Location Description:**

The Jeff/Viper/Fusonia neighborhoods in Perry County, south of Hazard, Ky. Blocks Comprising Target Neighborhood are: 211939099299999970900R1, 211939357699999970800R1, 211939357699999970800R2, 211939357699999970800R3.

**Activity Description:**

24 CFR 570.201(a) Acquisition, (b) Disposition; (c) Public facilities and improvements; (e) Public services for housing counseling, but only to the extent that counseling beneficiaries are limited to prospective purchasers or tenants of the redeveloped properties; (i) Relocation, and (n) Direct homeownership assistance. 24 CFR 570.202 Eligible rehabilitation and preservation activities for demolished or vacant properties. 24 CFR 570.204 Community based development organizations. New construction of approximately eight two-bedroom permanent supportive housing rental units via a development partnership with Kentucky River Community Cares. Units will be leased to persons with disabilities whose household income is at or below 50% of area median.



**Grantee Activity Number:** NSP-E-0000-11N-051  
**Activity Title:** NSP-E-0000-11N-051-Purchase

**Activity Type:**  
 Construction of new housing

**Project Number:**  
 Eligible Use E

**Projected Start Date:**  
 03/15/2011

**Project Draw Block by HUD:**  
 Not Blocked

**Activity Draw Block by HUD:**  
 Not Blocked

**Block Drawdown By Grantee:**  
 Not Blocked

**National Objective:**  
 LMMI: Low, Moderate and Middle Income National Objective for NSP Only

**Environmental Assessment:**  
 COMPLETED

**Benefit Report Type:**  
 Direct (Households)

**Activity Status:**  
 Under Way

**Project Title:**  
 Eligible Use E

**Projected End Date:**  
 12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 779,410.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 779,410.00

<b>Proposed Beneficiaries</b>	<b>Total</b>	<b>Low</b>	<b>Mod</b>	<b>Low/Mod%</b>
# Owner Households	8		8	100.00
# of Households	8		8	100.00

<b>Proposed Accomplishments</b>	<b>Total</b>
# of Singlefamily Units	8
# of Housing Units	8
# ELI Households (0-30% AMI)	
Activity funds eligible for DREF (Ike Only)	
#Units $\zeta$ other green	
#Sites re-used	8
#Units exceeding Energy Star	
#Units with bus/rail access	
#Low flow showerheads	8
#Low flow toilets	8
#Units with solar panels	



**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Purchase Housing

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
Purchase Housing	Non-Profit	\$ 779,410.00

**Location Description:**

Purchase Area Housing Corporation will use \$650,000 in NSP3 funding to supplement ongoing stabilization efforts. Blocks comprising the target neighborhood are: 211459264858836030300U1, 211459264858836030300U2, 211459264858836030400U1, 211459264858836030400U2, 211459264858836030500U2, 211459264858836030500U3.

**Activity Description:**

24 CFR 570.201(a) Acquisition, (b) Disposition; (c) Public facilities and improvements; (e) Public services for housing counseling, but only to the extent that counseling beneficiaries are limited to prospective purchasers or tenants of the redeveloped properties; (i) Relocation, and (n) Direct homeownership assistance. 24 CFR 570.202 Eligible rehabilitation and preservation activities for demolished or vacant properties. 24 CFR 570.204 Community based development organizations. Purchase Area Housing Corporation will use approximately \$1.1 million in NSP3 funding to supplement ongoing stabilization efforts in the Fountain Avenue and adjoining neighborhoods. The agency will acquire approximately eight foreclosed, abandoned and/or vacant properties and either rehab or newly construct nine single family dwellings for homeownership. Houses will be sold to households with incomes at or below 120% of area median; it is not anticipated that any units will be sold to households with incomes below 50% of AMI. The NSP3 funding supplements approximately \$1.5 million in NSP1 investment. The City of Paducah owns properties acquired via tax lien foreclosure or market sale and will donate five properties for use in the project, at an estimated value of \$10,000.

**Activity Supporting Documents**

**Document** PURCHASE TECHNICAL CORRECTION.docx



**Grantee Activity Number:** NSP-E-0000-11N-051/LI  
**Activity Title:** NSP-E-0000-11N-051/LI-Purchase

**Activity Type:**  
 Construction of new housing

**Activity Status:**  
 Under Way

**Project Number:**  
 Eligible Use E

**Project Title:**  
 Eligible Use E

**Projected Start Date:**  
 03/02/2011

**Projected End Date:**  
 04/30/2014

**Project Draw Block by HUD:**  
 Not Blocked

**Project Draw Block Date by HUD:**

**Activity Draw Block by HUD:**  
 Not Blocked

**Activity Draw Block Date by HUD:**

**Block Drawdown By Grantee:**  
 Not Blocked

**Total Budget:** \$ 269,525.00

**National Objective:**  
 LH25: Funds targeted for housing for households whose incomes are at or under 50% Area Median Income.

**Most Impacted and Distressed Budget:** \$ 0.00

**Environmental Assessment:**  
 COMPLETED

**Other Funds:** \$ 0.00

**Total Funds:** \$ 269,525.00

**Benefit Report Type:**  
 Direct (Households)

Proposed Beneficiaries	Total	Low	Mod	Low/Mod%
# Owner Households	1	1		100.00
# of Households	1	1		100.00

Proposed Accomplishments	Total
# of Singlefamily Units	1
# of Housing Units	1
# ELI Households (0-30% AMI)	
Activity funds eligible for DREF (Ike Only)	
#Units $\neq$ other green	1
#Sites re-used	1
#Units exceeding Energy Star	
#Units with bus/rail access	
#Low flow showerheads	1
#Low flow toilets	1
#Units with solar panels	



**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Purchase Housing

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
Purchase Housing	Non-Profit	\$ 1.00

**Location Description:**

City of Paducah, Ky.

**Activity Description:**

New construction of single family homes on vacant lots; this activity is created for units that were produced under "regular" funds that subsequently sold to LH25 households (funds will be reclassified here).





**Grantee Activity Number:** NSP-E-0000-11N-052  
**Activity Title:** NSP-E-0000-11N-052-Louisville

**Activity Type:**  
 Rehabilitation/reconstruction of residential structures

**Project Number:**  
 Eligible Use E

**Projected Start Date:**  
 10/01/2011

**Project Draw Block by HUD:**  
 Not Blocked

**Activity Draw Block by HUD:**  
 Not Blocked

**Block Drawdown By Grantee:**  
 Not Blocked

**National Objective:**  
 LMMI: Low, Moderate and Middle Income National Objective for NSP Only

**Environmental Assessment:**  
 UNDERWAY

**Benefit Report Type:**  
 Direct (Households)

**Activity Status:**  
 Under Way

**Project Title:**  
 Eligible Use E

**Projected End Date:**  
 12/31/2013

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 356,518.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 9,150,000.00

**Total Funds:** \$ 9,506,518.00

Proposed Beneficiaries	Total	Low	Mod	Low/Mod%
# Renter Households	7		7	100.00
# of Households	7		7	100.00

Proposed Accomplishments	Total
# of Multifamily Units	7
# of Housing Units	7
# ELI Households (0-30% AMI)	
Activity funds eligible for DREF (Ike Only)	
#Units $\zeta$ other green	
#Units deconstructed	
#Sites re-used	2
#Units exceeding Energy Star	
#Units with bus/rail access	2
#Low flow showerheads	
#Low flow toilets	
#Units with solar panels	
#Dishwashers replaced	
#Clothes washers replaced	
#Refrigerators replaced	
#Light fixtures (outdoors) replaced	

#Light Fixtures (indoors) replaced

#Replaced hot water heaters

#Replaced thermostats

#Efficient AC added/replaced

#High efficiency heating plants

#Additional Attic/Roof Insulation

#Energy Star Replacement Windows

# of Properties

2

**Activity is being carried out by Grantee:**

No

**Activity is being carried out through:**

**Organization carrying out Activity:**

Louisville Metro

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
Louisville Metro	Local Government	\$ 366,664.00
Funding Source Name	Matching Funds	Funding Amount
Equity - sale of tax credits	No	\$ 7,850,000.00
Louisville Metro Government	No	\$ 800,000.00
Lenders - 1st mortgage loans	No	\$ 500,000.00

**Location Description:**

Louisville/Jefferson County, Parkland neighborhood, including: 211119211048000001000U3, 211119211048000001500U1, 211119211048000001500U2, 211119211048000001500U4, 211119211048000001700U3.

**Activity Description:**

24 CFR 570.201(a) Acquisition, (b) Disposition; (c) Public facilities and improvements; (e) Public services for housing counseling, but only to the extent that counseling beneficiaries are limited to prospective purchasers or tenants of the redeveloped properties; (i) Relocation, and (n) Direct homeownership assistance. 24 CFR 570.202 Eligible rehabilitation and preservation activities for demolished or vacant properties.

Louisville Metro Government will utilize its \$500,000 NSP3 funding to create approximately 11 permanent rental housing units through a development partnership with New Directions, Inc. The development is located in the Parkland neighborhood area.

**Activity Supporting Documents**



**Project # / Title: KYNSP3-EUB / KYNSP3-EUB**

**Grantee Activity Number: NSP-B-0000-11N-052**  
**Activity Title: NSP-B-0000-11N-052-Louisville**

**Activity Type:**  
 Rehabilitation/reconstruction of residential structures

**Project Number:**  
 KYNSP3-EUB

**Projected Start Date:**  
 03/01/2013

**Project Draw Block by HUD:**  
 Not Blocked

**Activity Draw Block by HUD:**  
 Not Blocked

**Block Drawdown By Grantee:**  
 Not Blocked

**National Objective:**  
 LMMI: Low, Moderate and Middle Income National Objective for NSP Only

**Environmental Assessment:**  
 COMPLETED

**Benefit Report Type:**  
 Direct (Households)

**Activity Status:**  
 Under Way

**Project Title:**  
 KYNSP3-EUB

**Projected End Date:**  
 03/01/2014

**Project Draw Block Date by HUD:**

**Activity Draw Block Date by HUD:**

**Total Budget:** \$ 16,674.00

**Most Impacted and Distressed Budget:** \$ 0.00

**Other Funds:** \$ 0.00

**Total Funds:** \$ 16,674.00

Proposed Beneficiaries	Total	Low	Mod	Low/Mod%
# of Households	2		2	100.00

**Activity is being carried out by Grantee:**  
 No

**Activity is being carried out through:**

**Organization carrying out Activity:**  
 Louisville Metro

**Proposed budgets for organizations carrying out Activity:**

Responsible Organization	Organization Type	Proposed Budget
Louisville Metro	Local Government	\$ 133,336.00



**Location Description:**

Parkland neighborhood, Louisville/Jefferson County

**Activity Description:**

Acquisition and rehabilitation of 1406 Catalpa Street, a residential structure in foreclosure, to create two rental units.

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**Action Plan Comments:**

- Reviewer - Action Plan reviewed and accepted. See checklist for details. Grant Agreement signed 3/2/11
- Reviewer - Technical correction to reassociate activities with projects so that the drawdown module would recognize the activities. No change in actual activities, statements of work or budgets. RK 072611
- Reviewer - Plan is rejected as submitted as the public comment period has not ended. Grantee advised to resubmit after public comment period ends with any public comments included. ST 1/24/2013
- Reviewer - Plan submitted after public comment period ended 2/13/2013. No significant comments received. Technical corrections reviewed. Plan Approved. ST 2/13/2013
- Reviewer - Per e-mail 4/12/2013 from grantee, deobligation of Eligible Use E funds for 1406 Catalpa and creation of new activity for same address in Eligible Use B. No additional changes. Approved ST 4/12/2013
- Reviewer - Rejected per e-mail of grantee 6/7/2013 as plan was submitted before additional changes were entered. ST 6/7/2013
- Reviewer - Per grantee e-mail 7/25/2013 only change was update of program income receipts and their rebudgeting. ST 7/25/2013
- Reviewer - Per grantee e-mail 10/30/2013 only change was update of program income receipts and reallocation of same. ST 10/31/2013
- Reviewer - Per grantee email 1/28/2014 Updates were done by the previous program administrator. Typical updates included reclassification of funds to or from various activities to reflect sales of units to <50% or >50% AMI households, and end-of-quarter reconciliation for each activity, funded project, and overall allocation of NSP funds to Commonwealth and reconciliation of all program income received/dispensed. Plan approved ST 1/28/2014
- Reviewer - Per grantee e-mail 2/14/2014 addition of program income and reduction of 2 activity budgets allow these funds to be rebudgeted to 2 activities with an increase of 2 additional units. Noted ST 2/14/2014
- Reviewer - per grantee e-mail 7/22/2014 Updates to KY's NSP-3 Action Plan include reclassification of funds within various homeownership activities to reflect sales of units to below 50 percent AMI households and end-of-quarter reconciliation for each activity, funded project and overall allocation of NSP funds and program income funds received and disbursed. Noted and Approved ST.
- Reviewer - Per grantee e-mail 9/22/2014: Update includes end-of -quarter reconciliation for each activity and overall allocation of NSP-3 funds and program income funds received and disbursed. Noted and



approved ST 9/25/2014.

- Reviewer - Action Plan Amendment rejected per grantee request so that they may submit QPR timely. RK
- Reviewer - Grantee removed revolving loan fund amendment. RLF amendment referred to CPD director and HQ for guidance.
- Reviewer - Action Plan Amendment Request rejected at grantee's request. Will resubmit at a later date following consultation with Field Office. RK 1/25/16
- Reviewer - Grantee removed the RLF amendment language. This reverts the plan to the previously approved plan. RK 1/29/16
- Reviewer - Per Njeri Santana email dated 7/20, 2017, Action Plans are to be approved. FO review not completed. RK

## Action Plan History

Version	Date
B-11-DN-21-0001 AP#1	07/26/2011
B-11-DN-21-0001 AP#2	04/25/2012
B-11-DN-21-0001 AP#3	02/15/2013
B-11-DN-21-0001 AP#4	04/12/2013
B-11-DN-21-0001 AP#5	07/25/2013
B-11-DN-21-0001 AP#6	10/31/2013
B-11-DN-21-0001 AP#7	01/28/2014
B-11-DN-21-0001 AP#8	02/14/2014
B-11-DN-21-0001 AP#9	02/14/2014
B-11-DN-21-0001 AP#10	07/22/2014
B-11-DN-21-0001 AP#11	09/25/2014
B-11-DN-21-0001 AP#12	06/29/2015
B-11-DN-21-0001 AP#13	11/02/2015
B-11-DN-21-0001 AP#14	01/29/2016
B-11-DN-21-0001 AP#15	07/21/2017

