# Housekeeping

- Remain muted during the webinar unless invited to unmute.
- Questions can be entered in the Q&A section throughout the presentation and will be addressed at the end and at intervals during the webinar.
- This webinar is being recorded and will be posted on the HUD Exchange website at a later date.





# Planning for Operations During an Emergency or Disaster

# **Agenda and Learning Objectives**

#### Introduction

- Learning Objectives
  - Proactively Preparing for Emergencies and Disasters
  - Continued Operations and Administrative Plans
  - Waiver Processes and Types of Waivers
  - Review of COVID-19 Waivers



# **Poll Time**

- Has your PHA been affected by a disaster?
- Have you hired additional staff to maintain workloads during the pandemic or disaster?
- Have you had an increase in overtime to keep up with demand?
- Have you noticed a slowdown of interim changes due to income changes?





# **Preparing for Emergencies and Disasters**

# LINK: PHA Disaster Readiness and Preparation Guide

### **Preparing for Emergencies and Disasters: HUD-issued PHA Readiness and Preparation Guide**

#### Pre-disaster Preparedness

- Coordination
- Resource and guidance development
- Partnership development
- Readiness and staffing
- Training
- Post-disaster Recovery
  - Activation and deployment
  - Assessment
  - Recovery support strategy
  - Coordination and technical assistance
  - Communication and information sharing
  - Transition and normalization



# **Preparing for Emergencies and Disasters: Interagency Coordination**

- HUD and FEMA materials assist staff and tenants to prepare for unforeseen events
- Know the Disaster Coordinator in your city/jurisdiction
- Work with local nonprofits:
  - American Red Cross
  - Goodwill
  - Catholic Charities
  - Habitat for Humanity



# **Preparing for Emergencies and Disasters: Interagency Coordination (cont.)**

- Coordinate between staff and federal agencies
  - Reduce or avoid duplication of effort
  - Distribute resources based on need
- FEMA guidance: Disaster sequence of events
  - https://training.fema.gov/emiweb/downloads/is208sdmunit3.pdf
- FEMA guidance: How communities and states deal with emergencies and disaster
  - Full local and state response, recovery, and mitigation activities
  - What agencies provide during a disaster
  - https://training.fema.gov/emiweb/downloads/is7unit 2.pdf



# **Preparing for Emergencies and Disasters: Communication**

#### Communicate with HCV and LIPH participants on:

- Public housing tenants' eligibility for vouchers
- Housing Choice Voucher participants and porting
- Be familiar with:
  - Final 2015 portability rule
  - HUD 2017 guidance on porting from Presidentially declared major disaster declaration (MDD) areas
  - HUD HCVP Guidebook for all regulatory requirements related to moves and portability



# **Preparing for Emergencies and Disasters: Communication (cont.)**

- Important links:
  - <u>https://www.hud.gov/program\_offices/public\_indian\_housing/programs/hcv/portability</u>
  - <u>https://www.hud.gov/sites/dfiles/pih/documents/portability\_guidan</u> <u>ce.pdf</u>
  - <u>https://www.hud.gov/sites/dfiles/pih/documents/hcv\_guidebook\_m</u> oves\_and\_portability.pdf



# **Preparing for Disaster and Emergencies: Assessing Impacts**

- Check in with staff and residents
- Damages and costs
- Operations and staff
- FEMA or state grant application
- Other funding options:
  - CDBG-DR
  - HUD CDBG Mitigation Funding (CDBG-MIT)
  - Local city, county, state
  - Nonprofits and foundations



# Preparing for Disaster and Emergencies: Assessing Impacts (cont.)

- Maintain pictures, documents, and receipts supporting claims and file reports
- Procurement requirements to avoid denials

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- First means of reimbursement is filing with PHA insurance.
  - In cases where PHA insurance does not cover in full or if there's a unique event like a flood (outside of the 100-year flood plain) and no flood insurance was taken out on those properties:
    - Check with HUD and see if a FEMA MDD was declared for the area
    - If so, there's a chance that FEMA PA covers claims not otherwise covered by PHA insurance or HUD



# **Check In**

#### • What were your first steps in assessing impact?





# Continued Operations and Administrative Plans

## **Emergency Response Plan**

- Prepare an Emergency Response Plan
  - Ensures that COOP Plan can be put into practice
- Emergency Response Plan
  - Identifies most likely community threats
  - Specifies measures and equipment to address specific emergencies
  - Spells out emergency procedures for disaster events

#### Emergency Response Plan Toolkit

 <u>https://files.hudexchange.info/resources/documents/OHC-DR-</u> <u>Toolkit-Emergency-Response-Plan-Guidance.pdf</u>



# **Continuity of Operations (COOP) Plan**

- Plan for recovering and continuing operations in response to emergencies or significant disruptions to agency operations
- Ensures all personnel, facilities, and information are protected so necessary functions and normal operations can resume rapidly



# **Continuity of Operations (COOP) Plan (cont.)**

#### COOP Plans address:

- Critical functions to perform regardless of emergency
- Additional services that may be needed
- Personnel and resources needed to perform these functions
- Getting up and running again after the emergency
- Developing procedure for notifying HUD
- <u>https://www.hudexchange.info/programs/housing-counseling/housing-counseling-disaster-recovery-toolkit/preparing/</u>



# **Administrative Plan Considerations**

- Identify barriers for tenants and landlords
- Ensure Administrative Plan provides flexibility during a disaster
- Prepare for increased communication when funds become available
- Examples based on past experiences to consider for Administrative Plan:
  - Housing due to displacement and porting
  - Eligible tenant-related expenditures: security and utility deposit assistance, rental application fees, holding fees, etc.



# **Administrative Plan Considerations (cont.)**

#### • Eligible PHA-related expenditures:

- Funding for outreach and recruitment of new HCV landlords
- Incentives and retention payments
- Hiring extra support staff
- FEMA provides specific instructions when disaster declaration is made and what the declaration covers
  - Renting temporary housing when assisted unit is uninhabitable
  - Storm-related medical and dental expenses
  - Moving and storage fees
  - Replacement or repair of personal property (including vehicles)
  - Storm-related funeral and burial expenses
  - Other serious disaster needs



## **Administrative Plan Considerations (cont.)**

#### Create flexibilities in processes by:

- Increasing options to go paperless
- Establishing emergency phone line or email address
- Making staff available to answer questions
- Providing resource materials
- Reinforcing established connections with other entities facilitating emergency relief



## **Continued Operations Consideration**

#### Establish alternatives to routine activities

- Provide clear instructions for what alternative processes are available and how processes are being managed within your organization
  - Eligibility for housing and other assistance
  - Paperwork to be shortened or waived
  - Work hours
  - How and where important updates will be communicated
  - Specific measures and equipment to address specific emergencies
  - Emergency procedures for disaster event



# **Check In**

 How has your agency prepared for continued operations in the event of an emergency?





## **Waiver Processes and Types of Waivers**

#### Regulatory Waivers PIH Notice 2018-16

- Provides guidance for submitting requests for regulatory waivers for PHAs in Presidentially declared MDDs
  - For MDDs, ensure that specific locality/county/parish is included in the MDD
  - MDDs sometimes include either Individual Assistance (IA) and Public Assistance (PA) or both
  - PHAs apply under FEMA PA
  - Individuals apply under FEMA IA



## Waiver Processes and Types of Waivers (cont.)

- Regulatory Waivers PIH Notice 2018-16
  - FEMA Declared Disasters link: https://www.fema.gov/disaster/declarations
    - Emergency Declaration (ED) is not the same as a MDD
  - Waivers must be requested through Field Office unless related to an exemption noted in the PIH notice
  - Each waiver must identify regulation from which relief is sought
  - Exceptions are for waivers in MDD areas and Mixed Finance



## **Know Your County or Parish**

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# **Annual Federal Register Notice on Disaster Relief**

- HUD has flexibilities and expedited waiver process for PHAs in MDD areas
- If a unit has been vacated due to a Presidentially declared Disaster, MDD PHA (with HUD approval) may treat the unit as an "approved vacancy" for up to 12 months
- If displacement is caused by MDD, HUD will consider waiving section 905.400(i)(5) to allow all unexpended Capital Fund Replacement Housing Factor Grants to be used for public housing modernization
- HUD will consider a request from an MDD PHA wishing to waive this requirement to allow displaced families in areas affected to comply with mortgage terms or make necessary repairs
- Review the exceptions



## **Review of COVID-19 Waivers**

#### • PIH Notice 2020-05

- Provides waivers and administrative relief and allows for alternative approaches to various aspects of the Authority:
  - Processing Requests for Tenancy Approvals (RFTAs)
  - Implement plans for alternative procedures
  - Use of amounts made available under CARES Act supplemental appropriation, FY 2020 Operating Fund and Capital Fund appropriations, and any prior Operating Fund or Capital Fund appropriations
  - Review carefully
    - Notice provides for many waiver opportunities to ease administrative burdens, extend certain deadlines, or postpone public hearing requirements





# **Review of COVID-19 Waivers (cont.)**

#### • PIH Notice 2021-14

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- Provides for COVID-19 statutory and regulatory waivers and alternative requirements:
  - Waives third-party income verification requirements for PH and HCV
  - Waives eligibility determination requirements from 30 days to 90 days for admissions to be eligible for continued assistance
  - Waives requirement so that a PHA may allow a family to initially lease an under-occupied PBV or RAD unit
  - Suspends requirement for community service and self-sufficiency activities for public housing tenants
  - Extends scores on record for PHAs and SEMAP to 12/31/2021

# **Review of COVID-19 Waivers (cont.)**

#### Activities after CARES Act Waivers

- Determine how to continue business operations
  - Implement other efficiencies that permit streamlined operations with current funding?
  - Reactivate proven viable and effective processes as needed during a disaster?
- May need to retrain staff on processes, especially new hires
- Create your action plan now if you don't have one or create a plan for future use and preparedness



# **Debrief and Poll**



- How was your experience with waiver requests?
  - Rate on the scale of 1 to 3
    - 1 = not satisfactory
    - 2 = satisfactory
    - 3 = great
- Will you continue some practices from waivers you implemented?
  - If so, which one?



## **Resource Materials**

- Regulatory Waivers, <u>Notice PIH 2018-16</u>
- COVID-19 Statutory and Regulatory Waivers, <u>Notice PIH 2020-05</u>
- COVID-19 Statutory and Regulatory Waivers and Alternative Requirements, <u>Notice PIH 2021-14</u>
- <u>Relief from HUD Public Housing and Section 8 requirements</u> available to PHAs to assist with recovery and relief efforts on behalf of families affected by presidentially declared major disasters (Federal Register)



## **Resource Materials (cont.)**

- Public Housing and Section 8 Programs: Housing Choice Voucher Program: Streamlining the Portability Process <u>https://www.hud.gov/program\_offices/public\_indian\_housing</u> /programs/hcv/portability
- HUD guidance (2017) on porting from Presidentially declared Major Disaster Declaration areas: <a href="https://www.hud.gov/sites/dfiles/pih/documents/portability-guidance.pdf">https://www.hud.gov/sites/dfiles/pih/documents/portability</a> guidance.pdf



## **Resource Materials (cont.)**

- FEMA guidance on Disaster Sequence of Events <u>https://training.fema.gov/emiweb/downloads/is208sdmunit3.</u> pdf
- FEMA guidance on how communities and states deal with emergencies and disasters <u>https://training.fema.gov/emiweb/downloads/is7unit\_2.pdf</u>



# **Additional Questions**

- Send TA service requests to <u>hudcc.trainings@iem.com</u>
- Subject line: PHA COVID-19 TA Post-training Follow-up Question
- Include in the body of an email:
  - Requester name
  - Requester PHA
  - Training topic (include training attended)
  - Specific issue and/or question (be brief)
  - Email and phone number



# Thank You



