



National Disaster Resilience Competition (NDRC)

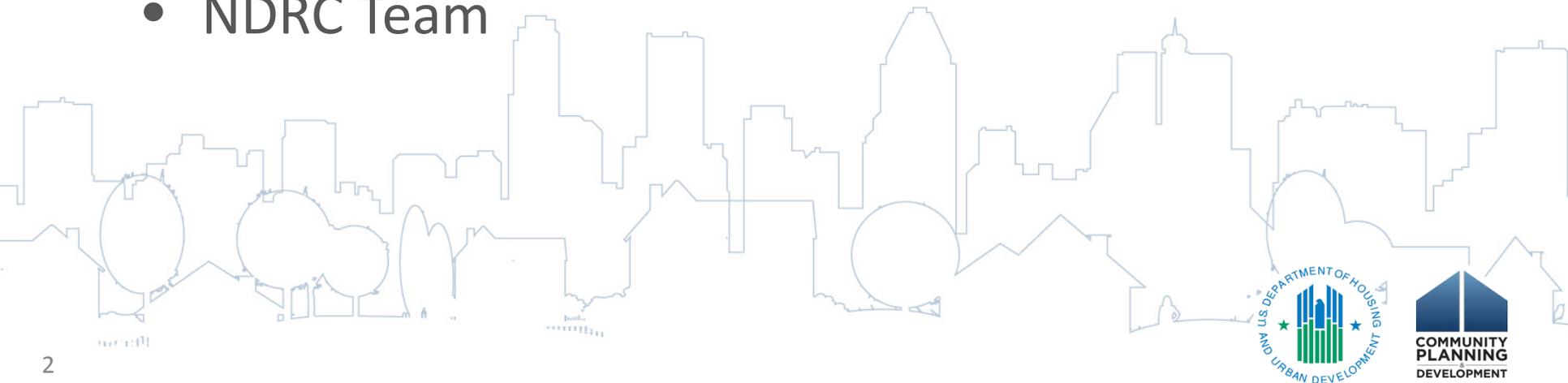
Approach to Scheduling: Phase 2 Projects
August 20, 2015



Presenters

Office of Community Planning and Development

- Jessie Handforth Kome, Deputy Director - Office of Block Grant Assistance
- Duncan Yetman, CPD Specialist – Office of Block Grant Assistance
- NDRC Team



Agenda

1. Competition Overview with Emphasis on Scheduling
2. NOFA Timely Expenditure Requirements
3. Where Scheduling Should Be Addressed in Your Response
4. Scheduling Strategies – Scaling and Scoping
5. Waiver Requests
6. Resources Available to NDRC Phase 2 Eligible Applicants
7. Questions

NDRC Overview and Timing

- The National Disaster Resilience Competition (NDRC) makes available nearly \$1 billion to communities that have been impacted by natural disasters between 2011-2013.
- The source of this funding is the Sandy appropriation, P. L. 113-2, enacted in 2013.
- Funds need to be obligated by HUD on or before 9/30/2017
- Funds need to be expended by grantees within two years of the date HUD obligates the funds.

NDRC Obligation and Expenditure Timeline

HUD obligates funds by 9/30/2017

Grantee expends funds within 2 years of obligation date

Within waiver authority, absolute expenditure end date is 9/30/2022



NOFA Requirement (p. 25)

Excerpt from Section IV. E. 2. of the NOFA

“Required Expenditure Date. In accordance with P.L. 113-2, all CDBG-NDR funds must be expended within two years of the date HUD obligates funds to the grantee, unless a waiver is requested and granted prior (see Appendix E for more details). Any funds that are not expended by that date will be cancelled and recaptured by the Treasury, and thereafter will not be available for obligation or expenditure for any purpose.”



Rating Factor 3: Soundness of Approach (p. 44)

Excerpt from NOFA Rating Factor Phase 2 Factor 3, Subfactor d.

“Program Schedule (5 points). You must provide a detailed and feasible schedule for completing all of your proposed activities within 24 months of the effective date of the Grant Agreement, or, if you submit a waiver request with your application, within the time requested (see Appendix E for instructions on HUD’s ability to extend deadlines and on making waiver requests.). Be sure to describe the level of environmental review required for your project(s).

The schedule must identify each task and significant activity required for completing each project, including procurement, environmental review and obtaining federal, state, and local permits, and should list the planned start and completion dates of all tasks and CDBG-NDR activities within the proposed project or program. Specifically include a milestone(s) for the date(s) at which you expect your project(s) to become functional and the expected benefits realized.”



Scaling/Scoping/Phasing

Excerpt from NOFA Rating Factor Phase 2 Factor 3, c.

“Scaling/scoping. You must identify opportunities for scaling, scoping, or phasing your proposed project, including relevant funding amounts and timing associated with each individual part. For a Covered Project, each part must be separately considered under the mandatory BCA. HUD reserves the right to adjust requested funding amounts downward to fit unmet needs as determined by HUD. You may provide a narrative here describing your phase or scoping priorities.”

Scaling

- Adjusting a proposal's size proportionately, typically due to a reduction in funding
- For example,
 - reducing the number of housing units built from 750 to 500 to 250
 - Reducing the linear feet of sewer pipe or roadway to be replaced by the same amount or percentage
 - Planning a loan fund to serve 15 businesses initially rather than 30



Scoping

- Adjusting a proposal's size, but not necessarily proportionately.
- Versions – Premium and base
- For example,
 - The \$90 million version of your proposal includes area-wide planning, restoration of a river bank, business revolving loans, housing elevation, and remediation of a contaminated site. The \$45 million version includes only three of the elements.



Phasing

- Adjusting a proposal's size, sequentially.
- Initial project activities in first phase with full implementation at later dates
- For example,
 - Implementing flood buyouts and demolition with property re-use to occur later
 - RBD: the Big U
- May be combined with scaling and scoping strategies



Making a Waiver Request

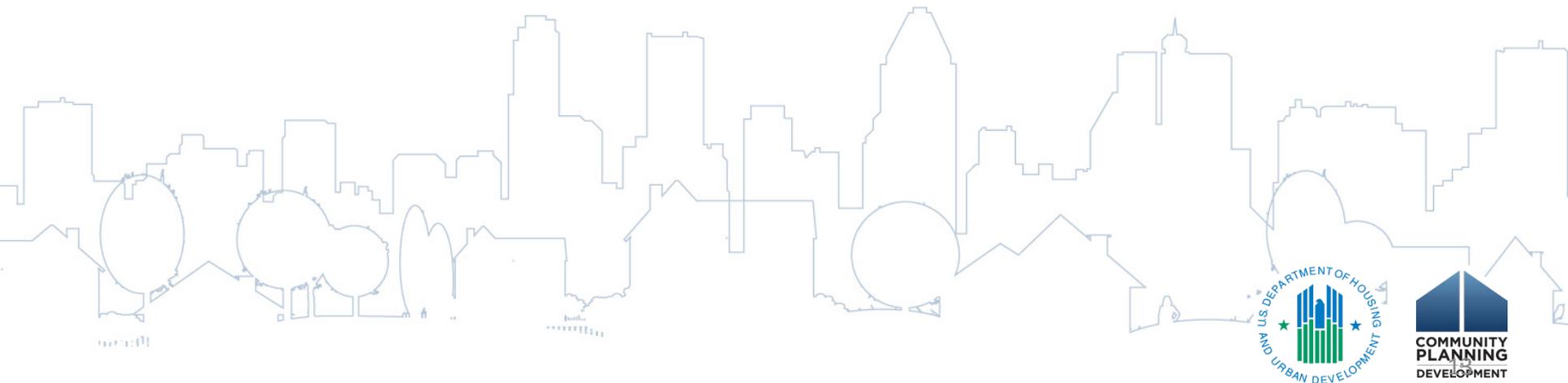
Appendix E provides instructions for making a waiver request under four major categories, including:

- Eligibility and Administrative Waivers
- Expenditure Deadline Waivers
- National Objective Waivers
- Overall Benefit Waivers

Our discussion today will focus on expenditure deadline waivers.

Expenditure Deadline Waivers

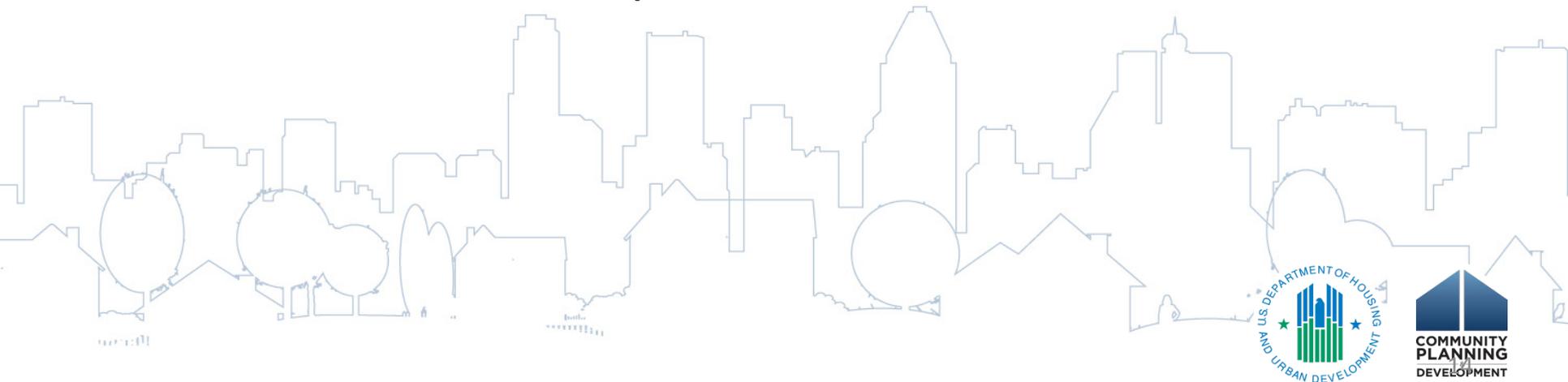
If you submit a schedule with your application showing the time needed for completion of your proposal exceeds 24 months, you trigger the requirement to submit a request for a time extension along with your application.



Expenditure Deadline Waivers

Your waiver request must:

- justify the need for the extension;
- detail the compelling legal, policy, or operational challenges necessitating the waiver; and
- identify the date when the funds covered by the waiver will be expended.



Expenditure Deadline Waivers

Excerpt from Appendix E: Waiver Requests

In addition, “the Applicant must justify how, under the proposed schedule, the project will proceed in a timely manner. For example, large and complex infrastructure projects are likely to require more than 24 months to complete. An extension request for such a project should justify the new timeline for any proposed extension by comparing it to completion timelines for other, similarly sized projects.”



Expenditure Deadline Waiver Alternatives

Excerpt from Appendix A: CDBG-NDR Program Requirements

“Grantees may request to obligate awarded funds in phases as established in a schedule submitted by the grantee, provided all funds are obligated prior to September 30, 2017. HUD will amend the grant agreement each time it obligates additional funds, which will trigger the two-year deadline for that portion of the award. Funds remaining in the grantee’s line of credit at the time of this expenditure deadline will be recaptured.”



Other NDRC Resources

Quick link: <http://hud.gov/resilience>

Resilience-related resources:

<https://www.hudexchange.info/cdbg-dr/resilient-recovery/>

<https://www.hudexchange.info/manage-a-program/community-resilience>

Fact Sheet:

<http://portal.hud.gov/hudportal/documents/huddoc?id=NDRCFactSheetFINAL.pdf>

NDRC NOFA posted on Grants.gov :

http://portal.hud.gov/hudportal/HUD?src=/program_offices/administration/grants/fundsavail

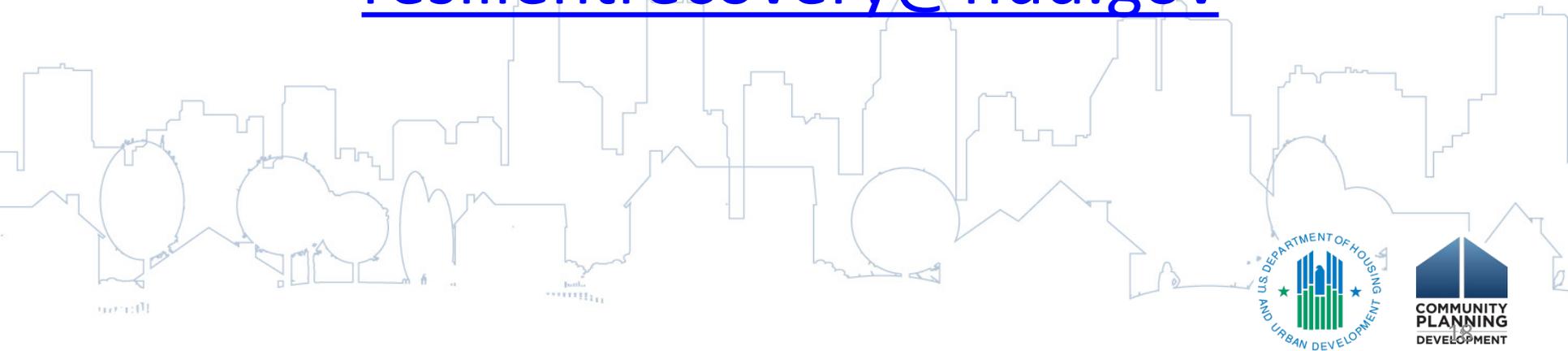
Submit NDRC questions to: resilientrecovery@hud.gov

NDRC NOFA And Resilience Webinar Series:

<https://www.hudexchange.info/news/ndrc-webinar-series/>

Questions?

resilientrecovery@hud.gov



Next live webinar will be a NOFA-specific webinar, ***Resources Available to Applicants***, August 27th from 3:00 – 4:30 PM

Watch the NDRC Webinar Series newspaper for further updates... <https://www.hudexchange.info/news/ndrc-webinar-series/>

