



# The Continuum of Care Program: Unified Funding Agency

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## Webinar Format

This webinar will last approximately 60 minutes.

A recorded version of this webinar can be found on the OneCPD Resource Exchange at <https://www.onecpd.info/training-events/> after May 2, 2013.

Feedback survey and instructions to receive Learning Management System credit will be e-mailed following this webinar.





# Submitting Questions in the Webinar

Audience members are muted due to the high number of participants  
If you have technical difficulty with the audio or web-based portions of this webinar, try:

- Logging off, then logging in again
- Using the phone for audio
- Requesting TA through the “questions” pod on the Adobe Connect screen

CoC Program Resource Advisors during this webinar, use the “Questions” pod in the Adobe Connect screen.

CoC Program Resource Advisors will have limited availability to answer all questions.





## Submitting Questions in the Webinar

Submit Questions to the OneCPD Resource Exchange  
CoC Program Ask A Question (AAQ) Desk at:

<https://www.onecpd.info/ask-a-question/my-question>

Select CoC on the dropdown under Programs on Step 2

Please note: Due to the high volume of questions, please read the CoC Program interim rule and the technical assistance materials prior to submitting your question to the CoC Program AAQ Desk.





## Submitting Questions After the Webinar

- If you have a question after the webinar, please refer to <https://www.onecpd.info/ask-a-question/my-question>
  - Select CoC on the dropdown under Programs on Step 2
- Under Program / System
  - Select “Continuum of Care Program” for Policy Questions
  - Select the appropriate sub-topic



# Objectives of the Webinar

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Participants will be able to:

- Define a Unified Funding Agency (UFA)
- Understand the designation process for UFAs
- Understand the roles and responsibilities of a UFA
- Understand the eligible UFA costs



# What is a UFA?

Unified Funding  
Agency

A Unified Funding Agency (UFA) is:

- An eligible applicant (the Collaborative Applicant) selected by the CoC, which has the capacity to fulfill the duties in 24 CFR 578.11, and
- Approved by HUD to apply for a grant for the entire Continuum of Care, and
- Is awarded a grant by HUD.



# What is a UFA?

Collaborative  
Applicant

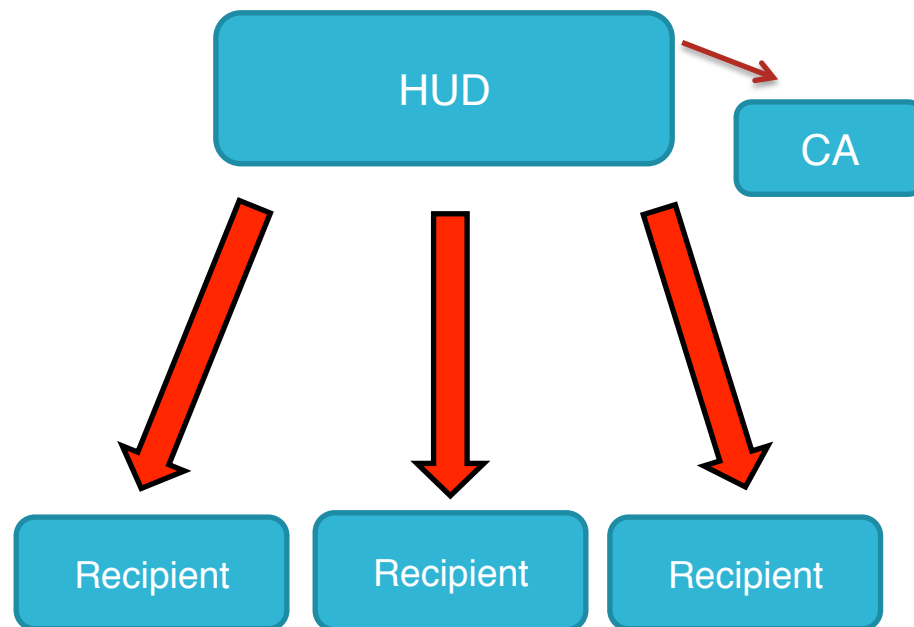
- A UFA is the CoC's designated Collaborative Applicant
- A UFA must fulfill all duties assigned to the Collaborative Applicant and the UFA





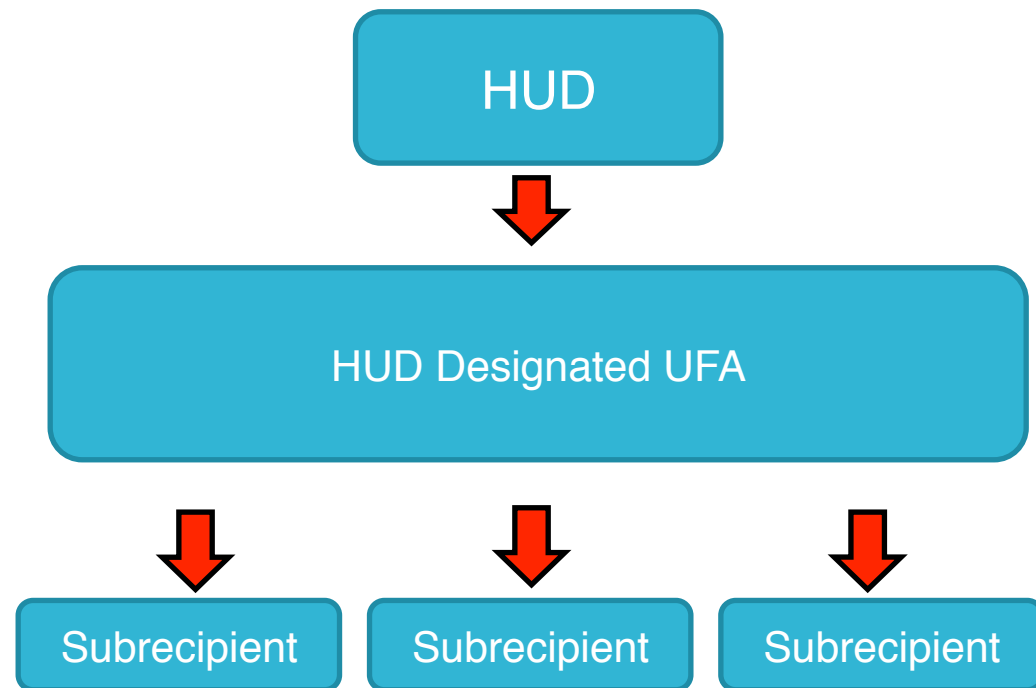
# Grant Agreement in a CoC without a UFA

Relationship between HUD and organizations carrying out projects (recipients)



# Grant Agreement in a CoC with a UFA

Relationship between HUD, the UFA, and the organizations carrying out projects (subrecipients)



# Designation Process

## CoC Designation Process

- The CoC must designate the Collaborative Applicant to apply to HUD, on its behalf, as a UFA
- Must ensure the Collaborative Applicant has capacity to fulfill UFA duties



# Designation Process

## HUD Designation Process

- The Collaborative Applicant must apply annually for HUD designation as a UFA
- HUD will use these criteria to determine whether to approve UFA status—
  - CoC meets requirements in 24 CFR 578.7 of the CoC Program interim rule
  - Collaborative Applicant has financial management systems that meet standards in Part 84 or Part 85
  - Collaborative Applicant has ability to monitor subrecipients
  - Other criteria established by NOFA



# HUD Designation Process

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CoC  
Responsibilities in  
24 CFR 578.7

- Operate the CoC
- Designate and Operate the HMIS
- Plan for the CoC



# HUD Designation Process

Part 84 and Part 85

- The Collaborative Applicant must have a financial management system for oversight of its subrecipients to ensure the proper disbursement of Federal funds
- State or local governments must meet the requirements in 24 CFR Part 85.20
- Nonprofit organizations must meet the requirements in 24 CFR Part 84.21



# HUD Designation Process

## Capacity to Monitor Subrecipients

- The Collaborative Applicant must demonstrate an ability to monitor subrecipients
- The Collaborative Applicant must sanction subrecipients in accordance with 24 CFR 578.107(c) if monitoring findings demonstrate the subrecipient is not following program requirements



# Responsibilities

## of the UFA

- Apply to HUD for funding for all of the CoC's projects
- Enter into a grant agreement with HUD
- Enter into legally binding agreements with subrecipients
- Require subrecipients to establish fiscal controls
- Obtain approval from CoC membership in advance of requesting grant amendments from HUD





# UFA Relationship with Subrecipients

## Grants Management

The UFA—

- Enters into legal agreements with subrecipients
- Receives and distributes funds for all projects
- Shares administrative funds with subrecipients
- Ensures that subrecipients establish necessary fiscal controls and fund account procedures



## **UFA Relationship with Subrecipients**

### Monitoring and Compliance

- Monitoring of subrecipients for compliance with CoC Program regulation at least annually
- Submission of required reports and audits to HUD



## Unified Funding Agency Costs

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- In addition to the CoC planning dollars, UFAs may use up to an additional three (3) percent of their Final Pro Rata Need amount, or a maximum amount established by the NOFA, for:
  - Fiscal control and accounting procedures; and
  - Monitoring costs of subrecipients and compliance with program requirements





## Webinar Evaluation

### **Participants should now be able to:**

- Define a UFA
- Understand the designation process of UFAs, by the CoC, and HUD
- Describe the roles and responsibilities of the UFA
- Explain the eligible UFA costs





## Resources

- Up to date information regarding the McKinney-Vento Act programs, including a copy of the McKinney-Act amended by the HEARTH Act, the ESG interim rule, the CoC Program interim rule, and TA materials can be found at <http://www.onecpd.info/>
- Notification of the availability of future information will be released via HUD's Homeless Assistance listserv. To join HUD's listserv, go to <http://www.hud.gov/subscribe/maillinglist.cfm> and click on "Homeless Assistance Program"

